

Wieler solenoids, Cuntz–Pimsner algebras and K -theory

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Abstract. We study irreducible Smale spaces with totally disconnected stable sets and their associated K -theoretic invariants. Such Smale spaces arise as Wieler solenoids, and we restrict to those arising from open surjections. The paper follows three converging tracks: one dynamical, one operator algebraic and one K -theoretic. Using Wieler's theorem, we characterize the unstable set of a finite set of periodic points as a locally trivial fibre bundle with discrete fibres over a compact space. This characterization gives us the tools to analyse an explicit groupoid Morita equivalence between the groupoids of Deaconu–Renault and Putnam–Spielberg, extending results of Thomsen. The Deaconu–Renault groupoid and the explicit Morita equivalence lead to a Cuntz–Pimsner model for the stable Ruelle algebra. The K -theoretic invariants of Cuntz–Pimsner algebras are then studied using the Cuntz–Pimsner extension, for which we construct an unbounded representative. To elucidate the power of these constructions, we characterize the Kubo–Martin–Schwinger (KMS) weights on the stable Ruelle algebra of a Wieler solenoid. We conclude with several examples of Wieler solenoids, their associated algebras and spectral triples.

1. Introduction

Inspired by Williams [53], Wieler [52] recently proved that irreducible Smale spaces with totally disconnected stable sets always arise as solenoids—inverse limits associated with continuous surjections. Due to Wieler’s characterization of such spaces, we call them Wieler solenoids. In view of [15], Wieler solenoids arising from an open surjection can be studied by means of Cuntz–Pimsner algebras, a class of C^* -algebras that provide a different picture than the usual Smale space groupoid C^* -algebras. The purpose of this paper is to study the connection between Wieler solenoids defined from an open mapping and Cuntz–Pimsner algebras. This connection allows us to improve our understanding of the K -theoretic invariants of such Smale spaces. We do this by linking Putnam’s stable Ruelle algebra [39, 43] with unbounded Kasparov theory on Cuntz–Pimsner algebras studied by Adam Rennie and the second and third listed authors [19, 20].

Wieler’s classification result states that any irreducible Smale space with totally disconnected stable sets can be written in the form of an inverse limit $X = V \xleftarrow{g} V \xleftarrow{g} \dots$, where $g : V \rightarrow V$ is a continuous finite-to-one surjection satisfying two additional axioms, which are weakened versions of g being locally expanding and open. For our construction, g must be an open map, rather than the weakened version appearing in Wieler’s second axiom. When g is open and satisfies Wieler’s first axiom, we show that g is a local homeomorphism. We discuss several different assumptions on g in §3 and show that they are all equivalent to the condition that g is an open map.

Our main results revolve around a systematic study of the C^* -algebras associated with Wieler solenoids arising from an open mapping. We arrive at our results through combining dynamics, operator algebras and K -theoretic invariants. In particular, we describe: (i) the global unstable set $X^u(P)$ as a locally trivial bundle over the base space V ; (ii) the stable Ruelle algebra as a Cuntz–Pimsner algebra; and (iii) the KK -theory of the stable Ruelle algebra. An immediate consequence of the Cuntz–Pimsner model for the stable Ruelle algebra is the complete classification of Kubo–Martin–Schwinger (KMS) weights on the stable Ruelle algebra for the natural gauge dynamics of the Cuntz–Pimsner algebra.

The structure of a Smale space naturally occurs in hyperbolic and symbolic dynamics; see [14, 39–43, 47–49, 52, 54]. The study of Smale spaces through invariants of their C^* -algebras has been central to the theory since its very beginning. In [47], Ruelle introduced C^* -algebra theory into the study of Smale spaces and studied their non-commutative dynamics using equilibrium (KMS) states. Continuing Ruelle’s programme, Putnam and Spielberg [39, 43] showed that the stable and unstable Ruelle algebras are separable, simple, stable, nuclear, purely infinite and satisfy the universal coefficient theorem (UCT). It follows that K -theory is a complete invariant for the stable and unstable Ruelle algebras using the Kirchberg–Phillips classification theorem [37]. The computation of K -theory for these algebras is therefore of fundamental importance. One of our main results provides a new method of computing K -theory for the stable Ruelle algebra of a Wieler solenoid through a six-term exact sequence.

Invariants of the Smale spaces themselves led Putnam to define a homology theory for Smale spaces [41]. Inspired by KK -theoretic correspondences, together with Brady Killough, the first and fourth listed authors used Putnam’s homology theory to define

dynamical correspondences for Smale spaces [16]. This paper is a first step towards understanding dynamical correspondences from the point of view of KK -theory [23, 24]. The reason for taking this first step for Wieler solenoids is the presence of an explicit Morita equivalence between the stable Ruelle algebra and a Cuntz–Pimsner algebra over $C(V)$. This allows us to describe the non-commutative geometry (unbounded KK -theory [6, 13]; see also [30, 31]) and non-commutative dynamics (KMS weights [27, 28]) of the stable Ruelle algebra in an explicit way. Our motivation for doing so is to make the Smale space origin clear and susceptible to generalization.

The dynamics of expanding continuous surjections $g : V \rightarrow V$ is well studied in the literature [1, 15, 18, 20, 28, 34, 49, 51, 53, 54]. This fact guarantees us that the tools we need are available. For instance, [49, Ch. 4.4] treated Smale spaces constructed from expansive mappings with a dense set of periodic points; see more details in Remark 3.13 below. Although the starting point for the paper is [52], the inspiration for several results describing the dynamical structure of Smale spaces with totally disconnected stable sets comes from work of Thomsen; see [49]. In particular, one of our aims is to make explicit the Morita equivalence obtained from [49, Remark 1.14, Lemma 4.16 and Theorem 4.19]. Such ideas first appeared in the context of subshifts of finite type in [14] and later in [10] in the context of topologically mixing expanding maps. Since this paper is a first step towards understanding the KK -theory of Smale spaces, we are careful to keep the paper self-contained and all of our constructions in non-commutative geometry and dynamics are explicit.

We now conclude the introduction with our main results and the organization of the paper. In §2, we recall the relevant definitions of Smale spaces. Section 3 introduces Wieler’s characterization of irreducible Smale spaces with totally disconnected stable sets. In particular, Wieler showed that such Smale spaces (X, φ) always arise from a continuous surjection $g : V \rightarrow V$ of a compact Hausdorff space V in the sense that X is the inverse limit $X := V \xleftarrow{g} V \xleftarrow{g} \dots$ and $\varphi : X \rightarrow X$ the shift map. The following is the main dynamical result of our paper, and appears as Theorem 3.12. This result should be compared to the structural results of Hurder, Clark and Lukina on matchbox manifolds [11, 12].

THEOREM 1. *Suppose that (X, φ) is an irreducible Wieler solenoid arising from an open continuous surjection. Let $P \subseteq X$ be a finite φ -invariant set of periodic points and*

$$X^u(P) := \left\{ x \in X : \exists p \in P \text{ such that } \lim_{n \rightarrow \infty} d_X(\varphi^{-n}(x), \varphi^{-n}(p)) = 0 \right\}.$$

Then the projection map $\pi_0 : X^u(P) \rightarrow V$, $(x_0, x_1, x_2, \dots) \mapsto x_0$ defines a covering map. In particular, $\pi_0 : X^u(P) \rightarrow V$ is a locally trivial bundle with discrete fibres.

In §4, we discuss Cuntz–Pimsner algebras associated with a continuous surjective local homeomorphism, following [15]. This Cuntz–Pimsner algebra is isomorphic to a Deaconu–Renault groupoid algebra. We conclude by relating our findings to Wieler solenoids. Pavlov and Troitskii’s results on branched coverings [36] show that, if $g : V \rightarrow V$ satisfies Wieler’s axioms, it is necessary that g is a local homeomorphism in order to have an associated Cuntz–Pimsner algebra.

In §§5 and 6, we define an unbounded K -cycle representing the Cuntz–Pimsner extension of O_E and show that it applies to the stable Ruelle algebra through an explicit Morita equivalence. The following result combines Theorem 6.6 and Proposition 6.15 to relate the stable Ruelle algebra $C^*(G^s(P)) \rtimes \mathbb{Z}$ with the Cuntz–Pimsner algebra O_E ; here $G^s(P)$ denotes the stable groupoid of the Smale space.

THEOREM 2. *Let (X, φ) be an irreducible Wiener solenoid arising from an open mapping $g : V \rightarrow V$ and suppose that $P \subseteq X$ is a finite φ -invariant set of periodic points. The crossed product groupoid $G^s(P) \rtimes \mathbb{Z}$ is groupoid Morita equivalent to the Deaconu–Renault groupoid \mathcal{R}_g , which satisfies $O_E \cong C^*(\mathcal{R}_g)$.*

Moreover, the stable Ruelle algebra $C^(G^s(P)) \rtimes \mathbb{Z}$ is itself isomorphic to a Cuntz–Pimsner algebra $O_{\tilde{E}}$, defined using the $C(V)$ -Hilbert C^* -module $\mathcal{H}_{C(V)} := L^2(X^u(P))_{C(V)}$ and the bi-Hilbertian $\mathbb{K}_{C(V)}(\mathcal{H}_{C(V)})$ -bimodule $\tilde{E} := \mathcal{H}_{C(V)} \otimes E \otimes_{C(V)} \mathcal{H}^*$.*

To describe the K -theoretic invariants of $C^*(G^s(P)) \rtimes \mathbb{Z}$, we make use of the Cuntz–Pimsner extension for O_E . The Cuntz–Pimsner extension describes $C^*(G^s(P)) \rtimes \mathbb{Z}$ in the triangulated category $KK^{\mathbb{T}}$ using $C(V)$ (carrying the trivial action of the circle group \mathbb{T}) and $[E] \in KK_0^{\mathbb{T}}(C(V), C(V))$ defined by equipping E with the \mathbb{T} -action $z \cdot \xi := z\xi$, for $z \in \mathbb{T} \subseteq \mathbb{C}$ and $\xi \in E$. This allows us to compute K -theoretic invariants, \mathbb{T} -equivariant or not, from knowledge of E and $C(V)$ alone. The form of the Cuntz–Pimsner extension is determined by a distinguished class $\partial \in KK_1^{\mathbb{T}}(C^*(G^s(P)) \rtimes \mathbb{Z}, C(V))$ that we now describe by combining Theorems 5.10 and 6.21.

THEOREM 3. *Let (X, φ) be an irreducible Wiener solenoid arising from an open mapping $g : V \rightarrow V$, $P \subseteq X$ a finite set of periodic points and let $C^*(G^s(P)) \rtimes \mathbb{Z}$ be the stable Ruelle algebra. Then there is a six-term exact sequence*

$$\begin{array}{ccccc} K_0(C(V)) & \xrightarrow{1-\theta_*} & K_0(C(V)) & \xrightarrow{\iota_{\mathcal{R}*}} & K_0(C^*(G^s(P)) \rtimes \mathbb{Z}) \\ \partial \uparrow & & & & \downarrow \partial \\ K_1(C^*(G^s(P)) \rtimes \mathbb{Z}) & \xleftarrow{\iota_{\mathcal{R}*}} & K_1(C(V)) & \xleftarrow{1-\theta_*} & K_1(C(V)) \end{array}$$

Moreover, each connecting map is represented by an explicit unbounded Kasparov module.

We note that the element $\iota_{\mathcal{R}} \in KK_0^{\mathbb{T}}(C(V), C^*(G^s(P)) \rtimes \mathbb{Z})$ is induced from a stable inclusion (cf. Corollary 6.13), the $*$ -homomorphism $\theta : C(V) \rightarrow C(V, M_N(\mathbb{C}))$ is defined from a partition of unity as in (5.5) and $\partial \in KK_1^{\mathbb{T}}(C^*(G^s(P)) \rtimes \mathbb{Z}, C(V))$ is explicitly represented by the unbounded Kasparov module appearing in Theorem 6.21.

In fact, a more general statement is true: the C^* -algebra $C^*(G^s(P)) \rtimes \mathbb{Z}$ fits into an exact triangle with $C(V)$, as in Theorem 6.21. Using this description allows us to compute K -theoretic invariants in the sense of Corollary 5.11. Since the K -theory computation is \mathbb{T} -equivariant, one can also use it to compute the K -theory of the stable algebra $C^*(G^s(P))$; see Remark 6.8. The fact that all KK -classes appearing in Theorem 3 are explicitly represented by unbounded Kasparov modules makes the result suitable for computations.

The stable Ruelle algebra $C^*(G^s(P)) \rtimes \mathbb{Z}$ carries a dual \mathbb{T} -action, so we can study the KMS weights of the associated \mathbb{R} -action. In Corollary 7.6, we combine a result of Laca and Neshveyev [27] and the Morita equivalence of Theorem 2 to prove the following.

THEOREM 4. *Let (X, φ) be an irreducible Wiener solenoid arising from an open mapping $g : V \rightarrow V$, $P \subseteq X$ a finite set of periodic points and π_0 the map from Theorem 1. For $\beta > 0$, there is a bijective correspondence between the measures μ on V satisfying $g^*\mu = e^\beta \mu$ and KMS_β weights on $C^*(G^s(P)) \rtimes \mathbb{Z}$ via $\mu \mapsto \phi_\mu$, where the KMS_β weight ϕ_μ is defined on $C_c(G^s(P) \rtimes \mathbb{Z})$ by*

$$\phi_\mu(f) := \int_{X^u(P)} f(x, 0, x) d(\pi_0^* \mu).$$

The C^ -algebra $C^*(G^s(P)) \rtimes \mathbb{Z}$ always admits at least one KMS_β weight. If (V, g) is mixing, there is a unique $\beta > 0$ for which there exists a KMS_β weight and that KMS_β weight is unique.*

Throughout the paper, n -solenoids feature as our running example. In addition, we consider two other examples in §8. These include subshifts of finite type, where the results in the present paper, together with previous results of the second and third listed authors [19], prove that the K -homology of the stable and unstable Ruelle algebras is exhausted by explicit θ -summable spectral triples whose phases are finitely summable Fredholm modules (see Theorem 8.1). We study self-similar groups in §8.2. The construction of limit sets of regular self-similar groups provides a broad range of examples fitting into the framework of the paper.

In §9, we study solenoids constructed from local diffeomorphisms $g : M \rightarrow M$ acting conformally on a closed Riemannian manifold M . In this case, we can construct explicit spectral triples on the stable Ruelle algebras that are not Kasparov products with the class ∂ in Theorem 3. These spectral triples are defined from an elliptic log-polyhomogeneous pseudo-differential operator (in some cases acting on the GNS space of the KMS weight).

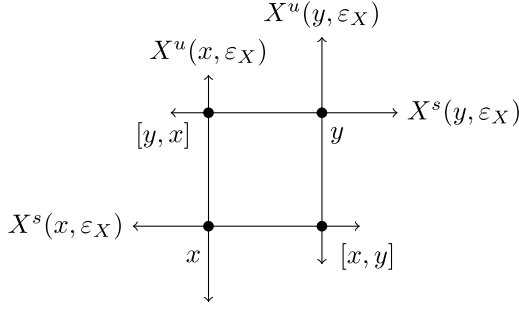
2. Smale spaces and their C^* -algebras

In this section, we will recall some well-known facts about Smale spaces and their associated C^* -algebras; see [39, 41–43, 47, 49]. For a more detailed presentation, we refer the reader to [41].

2.1. Smale spaces. A dynamical system (X, φ) consists of a compact metric space X and a continuous map $\varphi : X \rightarrow X$. A Smale space is a dynamical system in which φ is a homeomorphism and the space can be locally decomposed into the product of a coordinate whose points get closer together under the map φ and a coordinate whose points get farther apart under the map φ . Ruelle axiomatized the notion of a Smale space with the following definition.

Definition 2.1. ([41, p. 19], [48]) Suppose that X is a compact metric space and $\varphi : X \rightarrow X$ is a homeomorphism. Consider the data $(X, d_X, \varphi, [\cdot, \cdot], \lambda, \varepsilon_X)$, where $\varepsilon_X > 0$ and $0 < \lambda < 1$ are constants and

$$[\cdot, \cdot] : \{(x, y) \in X \times X : d_X(x, y) \leq \varepsilon_X\} \rightarrow X, \quad (x, y) \mapsto [x, y]$$

FIGURE 1. The local coordinates of $x, y \in X$ and their bracket maps.

is a continuous mapping, called *the bracket mapping*. We say that $(X, d_X, \varphi, [\cdot, \cdot], \lambda, \epsilon_X)$ is a *Smale space* if the following axioms hold:

B1 $[x, x] = x$;

B2 $[x, [y, z]] = [x, z]$ if both sides are defined;

B3 $[[x, y], z] = [x, z]$ if both sides are defined;

B4 $\varphi[x, y] = [\varphi(x), \varphi(y)]$ if both sides are defined;

C1 for $x, y \in X$ such that $[x, y] = y$, we have $d_X(\varphi(x), \varphi(y)) \leq \lambda d_X(x, y)$; and

C2 for $x, y \in X$ such that $[x, y] = x$, we have $d_X(\varphi^{-1}(x), \varphi^{-1}(y)) \leq \lambda d_X(x, y)$.

We denote a Smale space by (X, φ) ; the other data is taken to be implicit.

To see that Ruelle's axioms define a local product structure, for $x \in X$ and $0 < \epsilon \leq \epsilon_X$, define

$$X^s(x, \epsilon) := \{y \in X : d_X(x, y) < \epsilon, [y, x] = x\} \quad \text{and}$$

$$X^u(x, \epsilon) := \{y \in X : d_X(x, y) < \epsilon, [x, y] = x\}.$$

It follows that for $y, y' \in X^s(x, \epsilon)$ we have $d_X(\varphi(y), \varphi(y')) \leq \lambda d_X(y, y')$. We call $X^s(x, \epsilon)$ a *local stable set* of x . Similarly, for $z, z' \in X^u(x, \epsilon)$ we have $d_X(\varphi^{-1}(z), \varphi^{-1}(z')) \leq \lambda d_X(z, z')$, and we call $X^u(x, \epsilon)$ a *local unstable set* of x . The local product structure on a Smale space arises in the following way. For $0 < \epsilon \leq \epsilon_X$ and $x \in X$, the bracket mapping defines a mapping

$$[\cdot, \cdot] : X^s(x, \epsilon) \times X^u(x, \epsilon) \rightarrow X, \quad (2.1)$$

which is a homeomorphism onto an open neighbourhood of x (see [41, Proposition 2.1.8]).

We also note that if $x, y \in X$ with $d_X(x, y) < \epsilon_X/2$, then $[x, y]$ is the unique point $X^s(x, \epsilon_X) \cap X^u(y, \epsilon_X)$ and $[y, x]$ is the unique point $X^s(y, \epsilon_X) \cap X^u(x, \epsilon_X)$; see Figure 1. This fact implies that $(X, d_X, \varphi, [\cdot, \cdot], \lambda, \epsilon_X)$ is uniquely determined by (X, d_X, φ) (up to changing ϵ_X and λ).

Given a Smale space (X, φ) and $x, y \in X$, we define equivalence relations by

$$x \sim_s y \quad \text{whenever } d_X(\varphi^n(x), \varphi^n(y)) \rightarrow 0 \text{ as } n \rightarrow \infty \text{ and}$$

$$x \sim_u y \quad \text{whenever } d_X(\varphi^{-n}(x), \varphi^{-n}(y)) \rightarrow 0 \text{ as } n \rightarrow \infty.$$

We denote the stable equivalence class of $x \in X$ by $X^s(x)$ and note that $X^s(x, \epsilon) \subset X^s(x)$. Similarly, we denote the unstable equivalence class of $x \in X$ by $X^u(x)$. A locally compact

Hausdorff topology on $X^s(x)$ is generated by the open sets

$$\{X^s(y, \varepsilon) : y \in X^s(x), 0 < \varepsilon < \varepsilon_X\}.$$

A similar topology is defined in the unstable case. The reader should note that the topologies of $X^s(x)$ and $X^u(x)$ are in general different from the subspace topologies coming from the inclusions into X .

Lastly, we are interested in dynamical systems with topological recurrence conditions. In the following definition, we do not assume that (X, φ) is a Smale space.

Definition 2.2. Suppose that (X, φ) is a dynamical system. We say that (X, φ) is:

- (1) *non-wandering* if, for all $x \in X$ and all open sets U containing x , there exists $N \in \mathbb{N}$ such that $\varphi^N(U) \cap U \neq \emptyset$;
- (2) *irreducible* if, for all non-empty open sets $U, V \subseteq X$, there exists $N \in \mathbb{N}$ such that $\varphi^N(U) \cap V \neq \emptyset$;
- (3) *mixing* if, for all non-empty open sets $U, V \subseteq X$, there exists $N \in \mathbb{N}$ such that $\varphi^n(U) \cap V \neq \emptyset$ for all $n \geq N$.

In the previous definition, it is clear that (3) \implies (2) \implies (1). In general, none of the reverse directions hold.

2.2. C^ -algebras of Smale spaces.* The first C^* -algebras associated to Smale spaces were defined by Ruelle in [47]; Ruelle considered the homoclinic algebra. The C^* -algebras of interest in this paper are associated with the stable and unstable equivalence relations. They are now called the stable and unstable algebras of a Smale space. Putnam [39] showed that there are natural crossed product C^* -algebras of the stable and unstable algebras; he called them the stable and unstable Ruelle algebras. These algebras generalize Cuntz–Krieger algebras in the sense that if the Smale space is a subshift of finite type, then the stable Ruelle algebra is Morita equivalent to the Cuntz–Krieger algebra $O_{\mathbf{A}}$, where \mathbf{A} is the 0–1 matrix defining the subshift (see more in Example 4.1 and §8.1 below). All of these algebras are defined using groupoids. However, in [39] the groupoids were not étale. Putnam and Spielberg [43] showed that, up to Morita equivalence, one can take the groupoids to be étale by restricting to an abstract transversal. We will use the construction from [43].

Suppose that (X, φ) is a Smale space. It is well known that the set of φ -periodic points in X is non-empty. This follows from the following two facts: the set of non-wandering points in X is non-empty [48, Appendix A.2] and is equal to the closure of the set of periodic points (see [48, §7.3] and [7, Lemma 3.8]). For a comprehensive treatment of these results, see [42, Proposition 1.1.3 and Theorem 4.4.1]. In particular, if (X, φ) is non-wandering, then the periodic points are dense in X .

We choose a non-empty finite set of φ -invariant periodic points P . We define $X^u(P) = \bigcup_{p \in P} X^u(p)$ and endow this set with the locally compact and Hausdorff topology generated by the set $\{X^u(x, \varepsilon) : x \in X^u(P), \varepsilon \in (0, \varepsilon_X]\}$. The stable groupoid is defined by

$$G^s(P) := \{(v, w) \in X \times X : v \sim_s w \text{ and } v, w \in X^u(P)\} \quad (2.2)$$

and has unit space $X^u(P)$. For the groupoid $G^s(P)$, the partial product operation is given by $(v, w)(w, z) := (v, z)$ and inversion is given by $(v, w)^{-1} := (w, v)$. We construct a basis for an étale topology on $G^s(P)$ as follows.

Suppose that $v \sim_s w$ and choose $N \in \mathbb{N}$ such that $\varphi^N(v) \in X^s(\varphi^N(w), \varepsilon_X/2)$. There is a relatively open neighbourhood U of w such that $\varphi^N(U) \subset X^u(\varphi^N(w), \varepsilon_X/2)$. This means that $[\varphi^N(x), \varphi^N(v)] \in X^u(\varphi^N(v), \varepsilon_X/2)$ is defined for all $x \in U$. The map

$$h_N^s : U \rightarrow X, \quad x \mapsto \varphi^{-N}[\varphi^N(x), \varphi^N(v)]$$

is a local homeomorphism with $h_N^s(w) = v$. More precisely, if we take $\delta > 0$ small enough and $U = X^u(w, \delta)$, then $h_N^s : X^u(w, \delta) \rightarrow X^u(v, \varepsilon_X/2)$ is a homeomorphism onto its image. The mapping h_N^s depends on the choice of v and w , which we suppress from the notation as they will be clear from the context. Using this, a base of local neighbourhoods of $(v, w) \in G^s(P)$ is given by the sets

$$V^s(v, w, N, U) := \{(h_N^s(x), x) : x \in U \subset X^u(w, \varepsilon_X/2)\}, \quad (2.3)$$

where $N \in \mathbb{N}$ is such that $\varphi^N(v) \in X^s(\varphi^N(w), \varepsilon_X/2)$ and the set U is relatively open with $\varphi^N(U) \subset X^u(\varphi^N(w), \varepsilon_X/2)$. With this topology, $G^s(P)$ is an étale groupoid.

We define the stable algebra $C^*(G^s(P))$ as the reduced groupoid C^* -algebra associated with $G^s(P)$. In the case that (X, φ) is irreducible, it is shown in [43] that $C^*(G^s(P))$ is strongly Morita equivalent to $C^*(G^s(Q))$ for any other finite φ -invariant set of periodic points Q . The same is true in the non-wandering case provided both P and Q intersect every irreducible component that arises in Smale's decomposition theorem [41, Theorem 2.1.13]. That is, for P large enough and X non-wandering, $C^*(G^s(P))$ is independent of P up to stable isomorphism.

The map $\alpha := \varphi \times \varphi$ induces an automorphism of the C^* -algebra $C^*(G^s(P))$. The stable Ruelle algebra is the crossed product $C^*(G^s(P)) \rtimes_{\alpha} \mathbb{Z}$. The stable Ruelle algebra, as defined here, is strongly Morita equivalent to the stable Ruelle algebra originally defined by Putnam in [39]. In [43], the Ruelle algebras were shown to be separable, stable, nuclear, purely infinite and satisfy the UCT when (X, φ) is irreducible and simple when (X, φ) is mixing. The discussion above carries over to the unstable algebra using the Smale space (X, φ^{-1}) equipped with the opposite bracket $[x, y]_{\text{op}} := [y, x]$. The unstable groupoid with unit space $X^s(P)$ is denoted by $G^u(P)$.

3. Wieler solenoids

Irreducible Smale spaces with totally disconnected stable sets were recently characterized by Wieler [52]. We outline the main results [52, Theorems A and B] in Wieler's paper that every continuous surjection $g : V \rightarrow V$ satisfying Wieler's axioms defines a Smale space with totally disconnected stable sets, and that all such irreducible Smale spaces arise in this way. Assuming that g is open, we define a fibre bundle structure that will be used later in the paper. The bundle structure is similar to a construction in [53] and related ideas appear implicitly in [49].

Definition 3.1. Suppose that f is a map on a compact metric space Y . We say that f is *locally expanding* if there exist constants $\delta > 0$ and $\lambda > 1$ such that $d_Y(x, y) < \delta$ implies that $d_Y(f(x), f(y)) \geq \lambda d_Y(x, y)$. We say that f is locally expanding for $\lambda > 1$ within distance δ .

Definition 3.2. [52, p. 2068] Suppose that V is a compact metric space and $g : V \rightarrow V$ is a continuous surjection. We say that (V, g) satisfies Wieler’s axioms if there exist constants $\beta > 0$, $K \in \mathbb{N}_+$ and $\gamma \in (0, 1)$ such that the following hold.

Axiom 1. If $v, w \in V$ satisfy $d_V(v, w) < \beta$, then

$$d_V(g^K(v), g^K(w)) \leq \gamma^K d_V(g^{2K}(v), g^{2K}(w)).$$

Axiom 2. For all $v \in V$ and $\varepsilon \in (0, \beta]$,

$$g^K(B(g^K(v), \varepsilon)) \subseteq g^{2K}(B(v, \gamma\varepsilon)).$$

Remark 3.3. As discussed in [52], Wieler’s axioms are weakenings of g being locally expanding and open, respectively. Furthermore, note that [52, Lemma 3.4] shows that Wieler’s Axiom 1 implies that g is finite-to-one. In fact, if g satisfies Wieler’s axioms then on combining [52, Lemma 3.4] with [36, Theorem 2.9] it follows that the map g is a branched covering if and only if g is open (see §4.3 below).

Suppose that V is a compact metric space and $g : V \rightarrow V$ is a continuous surjection. We define

$$X_V := \{(v_i)_{i \in \mathbb{N}} \in V^{\mathbb{N}} : v_i = g(v_{i+1})\} \quad (3.1)$$

along with a map $\varphi_g : X_V \rightarrow X_V$ given by

$$\varphi_g(v_0, v_1, \dots) := (g(v_0), v_0, v_1, \dots) = (g(v_0), g(v_1), g(v_2), \dots). \quad (3.2)$$

Definition 3.4. A Wieler solenoid is a dynamical system of the form (X_V, φ_g) defined as above from a pair (V, g) satisfying Wieler’s axioms (see Definition 3.2).

We often use juxtaposition to write $(v_0, v_1, v_2, \dots) = v_0 v_1 v_2 \dots$. Note that X_V is a compact metric space when equipped with the following metric (see [52, p. 2071] for details):

$$d_{X_V}(x, y) = \sum_{k=0}^K \gamma^{-k} \sup_{i \in \mathbb{N}} \gamma^i d_V(x_{i+k}, y_{i+k}) \quad \text{for } x, y \in X_V. \quad (3.3)$$

THEOREM 3.5. (Wieler [52, Theorems A and B])

- (A) Suppose that (V, g) satisfies Wieler’s axioms. The associated Wieler solenoid (X_V, φ_g) is a Smale space with totally disconnected stable sets. If (V, g) is irreducible, then (X_V, φ_g) is as well.
- (B) Suppose that (X, φ) is an irreducible Smale space with totally disconnected stable sets. There exists an irreducible dynamical system (V, g) satisfying Wieler’s axioms such that (X, φ) is conjugate to the Wieler solenoid (X_V, φ_g) .

Remark 3.6. Suppose that (V, g) satisfies Wieler’s axioms. It is unclear to the authors if applying the construction in the proof of [52, Theorem B] (see Theorem 3.5(B) above) to the irreducible Smale space (X_V, φ_g) reproduces (V, g) up to some suitable equivalence relation.

Suppose that (X_V, φ_g) is a Wieler solenoid. The projection maps $\pi_k : X \rightarrow V$ are defined by $\pi_k(v_0, v_1, \dots) := v_k$. We note that

$$\pi_k \circ \varphi_g = g \circ \pi_k \quad \text{and} \quad \pi_k \circ \varphi_g^{-1} = \pi_{k+1}. \quad (3.4)$$

It is natural to consider stronger conditions than Wieler's Axioms 1 and 2. For example, one could assume that g satisfies Axiom 1 and is open, one could assume that g is locally expanding and satisfies Axiom 2 or one could assume that g is locally expanding and open. The next two results show that each of these strengthenings of Wieler's axioms are equivalent to g being a local homeomorphism with g^K locally expanding.

LEMMA 3.7. *Suppose that V is a compact metric space and $g : V \rightarrow V$ is an open surjection satisfying Wieler's Axiom 1. Then g is a local homeomorphism and $g^K : V \rightarrow V$ is locally expanding for γ^{-K} , where $\gamma \in (0, 1)$ and $K \in \mathbb{N}_+$ appear in Wieler's axioms.*

Proof. Let $\beta > 0$, $K \in \mathbb{N}_+$ and $\gamma \in (0, 1)$ be the fixed constants from Wieler's axioms. Suppose that g^K is not locally expanding for γ^{-K} . For any $n \in \mathbb{N}$, there exist $v_n, w_n \in V$ such that:

- (1) $d_V(v_n, w_n) < 1/n$; and
- (2) $d_V(v_n, w_n) > \gamma^K d_V(g^K(v_n), g^K(w_n))$.

By compactness, $\{v_n\}_{n \in \mathbb{N}}$ has a limit point, which we denote by x . Also, let x' denote a pre-image of x under g^K .

Take $0 < r \leq \beta/2$. The mapping g is open, so $g^K(B_r(x'))$ is an open set. We can therefore choose $N \in \mathbb{N}$ such that v_N and w_N are in $g^K(B_r(x'))$. Since $v_N, w_N \in g^K(B_r(x'))$, there are pre-images v' and w' in $B_r(x')$ such that $g^K(v') = v_N$ and $g^K(w') = w_N$. By the triangle inequality, $d_V(v', w') < 2r \leq \beta$. Applying Wieler's first axiom to v' and w' gives

$$\begin{aligned} d_V(v_N, w_N) &= d_V(g^K(v'), g^K(w')) \leq \gamma^K d_V(g^{2K}(v'), g^{2K}(w')) \\ &= \gamma^K d_V(g^K(v_N), g^K(w_N)). \end{aligned}$$

This is a contradiction to (2) above, so g^K is locally expanding. If g^K is locally expanding, then g^K is locally injective, so g is locally injective. Since g is open and V is compact, it follows that g is a local homeomorphism. \square

LEMMA 3.8. *Suppose that V is a compact metric space, $g : V \rightarrow V$ satisfies Wieler's Axiom 2 and g^K is locally expanding. Then g is a local homeomorphism.*

Proof. Since g^K is locally expanding, it is locally injective. Thus, we need only show that it is open. Standard results imply that we need only show that for each $v \in V$ and $\varepsilon > 0$, there exists $\delta > 0$ such that $B(g^K(v), \delta) \subseteq g^K(B(v, \varepsilon))$. We can assume that $\varepsilon \leq \beta$ and $g^K|_{B(g^K(v), (\varepsilon/\gamma))}$ is injective. By Axiom 2,

$$g^K\left(B\left(g^K(v), \frac{\varepsilon}{\gamma}\right)\right) \subseteq g^{2K}(B(v, \varepsilon)).$$

Since $g^K|_{B(g^K(v), (\varepsilon/\gamma))}$ is injective, we have

$$B\left(g^K(v), \frac{\varepsilon}{\gamma}\right) \subseteq g^K(B(v, \varepsilon)).$$

It follows that g^K is open and hence that g is also open. \square

Remark 3.9. By combining Lemma 3.7 with [36, Theorem 2.9], we can conclude that if $g : V \rightarrow V$ is a branched covering satisfying Wieler’s axioms, then g is open and, by Lemma 3.7, a finite sheeted covering (i.e. a surjective local homeomorphism); cf. Remark 3.3 and §4.3.

LEMMA 3.10. *Suppose that V is a compact metric space and $g : V \rightarrow V$ is a locally expanding continuous surjection satisfying Wieler’s axioms. Let $K \in \mathbb{N}_+$ be the constant in Wieler’s axioms and let $\delta > 0$ be such that (V, g^K) is locally expanding within distance 2δ . Suppose that M is a multiple of K and $x = x_0x_1 \dots, y = y_0y_1 \dots \in X_V$ satisfy:*

- (1) $d_X(x, y) < \varepsilon_X$; and
- (2) for each $m \leq M$, $d_V(x_m, y_m) < \delta$.

Then, for each $m \leq M$, $[x, y]_m = x_m$.

Proof. By assumption (1), $[x, y]$ is defined. We use induction on $m \leq M$. For $m = 0$, the result follows from the definition of the bracket; see [52, Lemma 3.3] and the discussion following its proof. Thus, we need to show that $[x, y]_m = x_m$ assuming that $[x, y]_{m-1} = x_{m-1}$. The construction of the bracket in [52, proof of Lemma 3.3] implies that $d_V([x, y]_m, y_m) < \delta$. Moreover, by assumption, $d_V(x_m, y_m) < \delta$, so the triangle inequality implies that

$$d_V([x, y]_m, x_m) < 2\delta. \quad (3.5)$$

Using the induction hypothesis, we have

$$g^K([x, y]_m) = g^{K-1}([x, y]_{m-1}) = g^{K-1}(x_{m-1}) = g^K(x_m). \quad (3.6)$$

Thus, combining (3.5) and (3.6) with g being locally expanding implies that

$$\lambda d_V([x, y]_m, x_m) \leq d_V(g^K([x, y]_m), g^K(x_m)) = 0.$$

We conclude that $[x, y]_m = x_m$. □

Suppose that V is a compact metric space and $g : V \rightarrow V$ is a map. Define

$$V(N, g) := \{(v_0, v_1, \dots, v_N) \in V^{N+1} : g(v_i) = v_{i-1} \forall 1 \leq i \leq N\}.$$

Let π_0^N denote the projection map $(v_0, v_1, \dots, v_N) \mapsto v_0$.

LEMMA 3.11. *Suppose that $g : V \rightarrow V$ is an onto mapping. Then g is a local homeomorphism if and only if for each $N \geq 0$, the projection map $\pi_0^N : V(N, g) \rightarrow V$ is a finite-to-one covering map.*

Proof. Take $N > 0$. The map g is a local homeomorphism if and only if g^N is. The space $V(N, g)$ is homeomorphic to the graph of g^N in a way that is compatible with π_0^N . In particular, $\pi_0^N : V(N, g) \rightarrow V$ is a local homeomorphism if and only if g^N is a local homeomorphism. □

THEOREM 3.12. *Let (X, φ) be an irreducible Wieler solenoid defined from an open surjection $g : V \rightarrow V$. For any finite set of φ -invariant periodic points $P \subseteq X$, the mapping $\pi_0 : X^u(P) \rightarrow V$ is a covering map.*

Remark 3.13. A mapping $g : V \rightarrow V$ is called expanding in [49] if it is open, surjective and there exists $\delta > 0$ such that $d_V(g^n(x), g^n(y)) \leq \delta$ for all n implies that $x = y$. In the proof of [49, Theorem 4.19], Thomsen gives the proof of a statement similar to Theorem 3.12 under the assumption that g is expanding and the set of periodic points in X is dense.

Proof of Theorem 3.12. We first show that $\pi_k : X^u(P) \rightarrow V$ is surjective for any k . Using (3.4), it suffices to prove that $\pi_0 : X^u(P) \rightarrow V$ is surjective. Let $v \in V$; since g is surjective, pick $x \in X$ such that $x_0 = v$. Since $X^u(P) \subseteq X$ is dense, there exists $y \in X^u(P)$ such that $d_X(x, y) < \varepsilon_X$. Then $[x, y] \in X^u(P)$ and $[x, y]_0 = x_0 = v$ by Lemma 3.10, so $[x, y] \in \pi_0^{-1}(v)$.

Lemma 3.7 implies that the map g is a local homeomorphism. By Lemma 3.11, it suffices to prove that there exists an $M \in \mathbb{N}$ such that $\pi_M : X^u(P) \rightarrow V$ is a covering map. We take M and $\delta > 0$ as in the hypotheses of Lemma 3.10, such that δ is also sufficiently small so that if $x, y \in X$ satisfy $d_V(x_m, y_m) < \delta$ for all $m \leq M$, then $d_X(x, y) < \varepsilon_X/4$. Note that we can take M and δ such that M is a multiple of the constant K appearing in Wieler's axioms and that (V, g) is locally expanding for 2δ .

Using the fact that g is continuous and a short induction argument, we have that for fixed $v \in V$, there exists an open set $U \subseteq V$ such that $v \in U$ and

$$d_V(g^k(w_1), g^k(w_2)) < \delta \quad \text{for any } w_1, w_2 \in U \text{ and each } 0 \leq k \leq M. \quad (3.7)$$

In particular, for such an open set U , we have $\pi_M^{-1}(U) \subseteq X^s(x, \varepsilon_X) \times X^u(x, \varepsilon_X)$ for $x \in \pi_M^{-1}(v)$, where we identify $X^s(x, \varepsilon_X) \times X^u(x, \varepsilon_X)$ with an open neighbourhood of x in X using the bracket mapping as in equation (2.1). Suppose that $v \in V$ and let $U \subseteq V$ be an open set satisfying (3.7). For any $x \in \pi_M^{-1}(v)$, define

$$\Psi_v : \pi_M^{-1}(U) \rightarrow U \times (X^s(x, \varepsilon_X) \cap X^u(P)), \quad \Psi_v(z) = (\pi_M(z), [x, z]).$$

We first claim that Ψ_v is well defined. By the choice of U and M , the bracket $[x, z]$ is defined, and is an element of $X^s(x, \varepsilon_X)$ since $[[x, z], x] = x$ by B1 and B3. Similarly, $[x, z] \in X^u(z, \varepsilon_X) \subset X^u(P)$. Thus, $\Psi_v(z) \in U \times (X^s(x, \varepsilon_X) \cap X^u(P))$.

Next we show that Ψ_v is independent of the choice of $x \in \pi_M^{-1}(v)$ in its definition. Suppose that $x' \in \pi_M^{-1}(v)$. Then, by the choice of M , $d_X(x, x') < \varepsilon_X/4$. Since $x \sim_s x'$, we have $[x, z] \in X^s(x', \varepsilon_X)$. Using B2, we have the identity

$$[x, z] = [x', [x, z]] = [x', z].$$

The mapping Ψ_v is therefore independent of the choice of $x \in \pi_M^{-1}(v)$.

That Ψ_v is continuous follows immediately, since the projection and bracket maps are continuous. We now show that Ψ_v is one-to-one. Suppose that $\Psi_v(z) = \Psi_v(z')$ for $z, z' \in \pi_M^{-1}(U)$. Then, since $\pi_M(z) = \pi_M(z')$ and $z \in X^s(z', \varepsilon_X)$, using B2 and B1 we have

$$z' = [z, z'] = [z, [x, z']] = [z, [x, z]] = [z, z] = z.$$

Thus, Ψ_v is one-to-one. The set

$$F_v := \{y : y = [x, z] \text{ for some } z \in \pi_M^{-1}(U)\} \subseteq X^s(x, \varepsilon_X) \cap X^u(P)$$

has the discrete topology because $X^s(x, \varepsilon_X) \cap X^u(P)$ is discrete. We will show that the map $\Psi_v : \pi_M^{-1}(U) \rightarrow U \times F_v$ is a homeomorphism. We have shown that Ψ_v is one-to-one,

onto and continuous. In fact, we have an explicit inverse. Let $\psi_v : U \times F_v \rightarrow \pi_M^{-1}(U)$ be defined by $\psi_v((w, y)) = [z', y]$, where z' satisfies $\pi_M(z') = w \in U$ and $d_V(z'_n, y_n) < \delta < \varepsilon_X$ for each $n \leq M$. The existence of z' is guaranteed by (3.7).

We note that the bracket $[z', y]$ is well defined by the properties of U . Furthermore, if both z' and z'' satisfy $\pi_M(z') = w = \pi_M(z'')$, then $z'' \in X^s(z', \varepsilon_X)$. Using B3, we compute

$$[z', y] = [[z'', z'], y] = [z'', y].$$

Hence, ψ_v is well defined.

We show that ψ_v is continuous. Since F_v has the discrete topology and the topology of local unstable sets coincides with the subspace topology, we need only show that given $\varepsilon_\psi > 0$, there exists $\delta_\psi > 0$ such that if (w, y) and (\hat{w}, y) are in $U \times F_v$ with $d_V(w, \hat{w}) < \delta_\psi$, then

$$d_X(\psi(w, y), \psi(\hat{w}, y)) = d_X([z', y], [\hat{z}', y]) < \varepsilon_\psi,$$

where z' satisfies the following: $\pi_M(z') = w \in U$ and $d_V(z'_n, y_n) < \delta < \varepsilon_X$ for each $n \leq M$ and \hat{z}' satisfies the analogous condition.

Based on the definition of the metric on X , we need only show that there exists $\hat{\delta}_\psi > 0$ such that, for each n , $d_V([z', y]_n, [\hat{z}', y]_n) < \varepsilon_\psi$ whenever $d_V(w, \hat{w}) < \hat{\delta}_\psi$. We have that $[z', y]$ and $[\hat{z}', y]$ are both in the local unstable set of y . It follows that there exists $L \geq 0$ such that for any $n \geq L$, $d_V(z'_n, \hat{z}'_n) < \varepsilon_\psi$. For small n , since g is a local homeomorphism, $\pi_M(z') = w$ and $\pi_M(\hat{z}') = \hat{w}$, there exists $\hat{\delta}_\psi > 0$ such that

$$d_V(z'_n, \hat{z}'_n) < \varepsilon_\psi$$

for $0 \leq n \leq L$. This completes the proof that ψ_v is continuous.

To see that ψ_v is the inverse of Ψ_v , we first check that $\pi_M([z', y]) = w$. By construction, $d_V(z'_n, y_n) < \delta$ for $0 \leq n \leq M$ and $d_X(z', y) < \varepsilon_X$, so by Lemma 3.10 we have that

$$[z', y]_M = z'_M = w,$$

as desired. Furthermore, using B2 and B1, we compute

$$(\psi_v \circ \Psi_v)(z) = [z, [x, z]] = [z, z] = z.$$

Using B2, we deduce that

$$(\Psi_v \circ \psi_v)(w, y) = (\pi_M([z', y]), [x, [z', y]]) = (w, [x, y]) = (w, y).$$

Here we have used the fact that $y \in X^s(x, \varepsilon_X)$ to conclude that $[x, y] = y$. \square

Example 3.14. There are several examples of open maps satisfying Wieler's axioms. In this example, we will introduce a particularly simple class, the n -solenoids. We take $n \in \mathbb{Z} \setminus \{-1, 0, 1\}$ and consider $V = S^1 := \mathbb{R}/\mathbb{Z}$ with the mapping $g(x) = nx \pmod{1}$. We set the global Smale space constants to be $\varepsilon_X = 1/2$ and $\lambda = |n|^{-1}$. For the remainder of this example, we will abuse notation and write nx for $nx \pmod{1}$. The space

$$X_{S^1} := \{(x_0, x_1, x_2, \dots) : x_i \in [0, 1), x_i - nx_{i+1} \in \mathbb{Z}\}$$

is a compact metric space with respect to the product metric

$$d_{X_{S^1}}((x_0, x_1, x_2, \dots), (y_0, y_1, y_2, \dots)) = \sum_{i=0}^{\infty} n^{-i} \inf\{|x_i - y_i + k| : k \in \mathbb{Z}\}.$$

We remark that this metric differs from that used in Wiener's construction; see equation (3.3). The dynamics φ_g from (3.2) is given by

$$\varphi_g(x_0, x_1, x_2, \dots) = (nx_0, x_0, x_1, x_2, \dots) = (nx_0, nx_1, nx_2, \dots).$$

Suppose that x, y are in X_{S^1} with $d_{X_{S^1}}(x, y) < \varepsilon_X$. Let $t = x_0 - y_0$; then the bracket map is defined by

$$[x, y] := (y_0 + t, y_1 + n^{-1}t, y_2 + n^{-2}t, \dots).$$

With these definitions in hand, it is routine to verify the Smale space axioms. These details can also be found in Putnam's Smale space notes [42, §3.4].

More generally, any matrix $A \in M_d(\mathbb{Z})$ induces a mapping $g_A : (S^1)^d \rightarrow (S^1)^d$. The mapping g_A is a local homeomorphism exactly when $\det(A) \neq 0$ and g_A is a homeomorphism when $|\det(A)| = 1$. Moreover, if $\det(A) \neq 0$, g_A satisfies Wiener's axioms if and only if $\|A^{-1}\|_{M_n(\mathbb{R})} < 1$. By [28, §2.2], the action of g_A on $(S^1)^d$ is an example of the shift mapping acting on the limit space of a self-similar group. This construction is discussed further in §8.2.

There are also examples that satisfy Wiener's axioms where the relevant map is not open, so our constructions do not apply to these examples. Examples 1 and 3 in [52] are two such examples. An additional example is the Smale space associated with an aperiodic substitution tiling; the details are in [3]. A Cuntz–Pimsner model for the stable Ruelle algebra of an aperiodic substitution tiling is constructed in forthcoming work by Peter Williamson. In the next section, we construct a Cuntz–Pimsner model over $C(V)$ for the stable Ruelle algebra of a Wiener solenoid defined from an open surjection $g : V \rightarrow V$. It is an interesting challenge to find K -theoretically relevant Cuntz–Pimsner models for Ruelle algebras of more general Wiener solenoids or even more general Smale spaces.

4. Cuntz–Pimsner algebras and topological dynamics

In this section, we recall a construction from [15] of Cuntz–Pimsner models describing the dynamics of a surjective local homeomorphism $g : V \rightarrow V$. We discuss the limitations of this assumption on g in §4.3, but emphasize that the results in this section do **not** need g to satisfy Wiener's axioms. For the general construction of Cuntz–Pimsner algebras, see [38].

4.1. *The Cuntz–Pimsner algebra of a local homeomorphism.* Consider a compact space V and a surjective local homeomorphism $g : V \rightarrow V$. A map $g^* : C(V) \rightarrow C(V)$ is defined by $g^*(a) := a \circ g$. We consider $E := C(V)$ as a right Hilbert module over itself via the action g^* and the inner product

$$\langle \xi, \eta \rangle_E := \mathfrak{L}(\bar{\xi}\eta) \quad \text{where } \mathfrak{L}(\xi)(y) := \sum_{g(z)=y} \xi(z). \quad (4.1)$$

We equip E with the left action defined from the pointwise action. To emphasize this dependence, we write $E_g = \text{id}C(V)_{g^*}$. For $k \geq 0$, there is a unitary isomorphism

$$v_k : E_g^{\otimes k} = E_g \otimes E_g \otimes \cdots \otimes E_g \rightarrow \text{id}C(V)_{g^{*k}}, \quad \eta_1 \otimes \cdots \otimes \eta_k \mapsto \prod_{j=1}^k g^{*(j-1)}(\eta_j).$$

Here ${}_{\text{id}}C(V)_{g^{*k}}$ is equipped with the inner product $\langle \xi, \eta \rangle_{{}_{\text{id}}C(V)_{g^{*k}}} := \mathfrak{L}^k(\bar{\xi}\eta)$. We define $E^{\otimes 0} := {}_{\text{id}}C(V)_{\text{id}}$. An element $\xi \in E$ induces a *Toeplitz operator*

$$T_\xi : E^{\otimes k} \rightarrow E^{\otimes k+1}, \quad \eta_1 \otimes \cdots \otimes \eta_k \mapsto \xi \otimes \eta_1 \otimes \cdots \otimes \eta_k.$$

The following computation is immediate:

$$T_\xi^* \eta_1 \otimes \cdots \otimes \eta_k = \begin{cases} \langle \xi, \eta_1 \rangle_E \eta_2 \otimes \cdots \otimes \eta_k, & k > 1, \\ \langle \xi, \eta_1 \rangle_E, & k = 1, \\ 0, & k = 0. \end{cases}$$

Under the collection of isomorphisms ν_k , we have

$$\tilde{T}_\xi := \nu_{k+1} T_\xi \nu_k^{-1} : {}_{\text{id}}C(V)_{g^{*k}} \rightarrow {}_{\text{id}}C(V)_{g^{*(k+1)}}, \quad \eta \mapsto \xi g^*(\eta)$$

and, for $\eta \in {}_{\text{id}}C(V)_{g^{*k}}$, we have

$$\tilde{T}_\xi^* \eta = \begin{cases} \mathfrak{L}(\bar{\xi}\eta), & k > 0, \\ 0, & k = 0. \end{cases}$$

The *Fock module* of E is the $C(V)$ -Hilbert module $F_E := \bigoplus_{k=0}^{\infty} {}_{\text{id}}C(V)_{g^{*k}}$. The *Toeplitz algebra* $\mathcal{T}_E \subseteq \text{End}_{C(V)}^*(F_E)$ is the C^* -algebra generated by the Toeplitz operators $\{\tilde{T}_\xi : \xi \in E\}$ and the compact operators $\mathbb{K}_{C(V)}(F_E)$. The *Cuntz–Pimsner algebra* of E is

$$O_E := \mathcal{T}_E / \mathbb{K}_{C(V)}(F_E).$$

If we equip E with the \mathbb{T} -action $z \cdot \xi := z\xi$, there is an induced \mathbb{T} -action on F_E making F_E into a \mathbb{T} -equivariant $C(V)$ -Hilbert module for the trivial action on $C(V)$. Then \mathcal{T}_E and $\mathbb{K}_{C(V)}(F_E)$ are invariant under the adjoint action. We equip these C^* -algebras and the Cuntz–Pimsner algebra O_E with the \mathbb{T} -action induced from the adjoint action.

Example 4.1. In the special case of a subshift of finite type, the construction above reproduces the associated Cuntz–Krieger algebra. Let \mathbf{A} be an $N \times N$ matrix consisting of zeros and ones. We consider the compact space

$$\Omega_{\mathbf{A}} := \{(s_i)_{i \in \mathbb{N}} \in \{1, \dots, N\}^{\mathbb{N}} : \mathbf{A}_{x_i, x_{i+1}} = 1 \forall i\},$$

which is equipped with the topology induced from the product topology. If \mathbf{A} is irreducible, then $\Omega_{\mathbf{A}}$ is a Cantor set. The mapping $\sigma(s_i)_{i \in \mathbb{N}} := (s_{i+1})_{i \in \mathbb{N}}$ is a surjective local homeomorphism. If we construct the $C(\Omega_{\mathbf{A}})$ -module E from $(\Omega_{\mathbf{A}}, \sigma)$, we obtain the Cuntz–Krieger algebra defined from the matrix \mathbf{A} . To prove this, consider the elements $S_i := \tilde{T}_{\chi_{C_i}} \bmod \mathbb{K}_{C(\Omega_{\mathbf{A}})}$, where $C_i := \{(s_i)_{i \in \mathbb{N}} \in \Omega_{\mathbf{A}} : s_0 = i\}$ is the clopen cylinder set on words starting with i . A direct computation gives

$$S_i^* S_k = \delta_{ik} \mathfrak{L}(\chi_{C_i}) = \delta_{ik} \sum_{j=1}^N \mathbf{A}_{ij} \chi_{C_j} \quad \text{and} \quad S_j S_j^* = \chi_{C_j}.$$

Hence, $\{S_i\}_{i=1}^N$ satisfies the Cuntz–Krieger relations defined from \mathbf{A} . This defines the isomorphism $O_{\mathbf{A}} \rightarrow O_E$.

4.2. *The Cuntz–Pimsner algebra of a topological graph.* In this section, we consider the Cuntz–Pimsner algebras of topological graphs, and make use of an étale groupoid previously considered in [15, 44, 45]. Suppose that V is a compact topological space and consider a closed subset $\mathcal{G} \subseteq V \times V$. We set $t(x, y) = y$ and $o(x, y) = x$ for $(x, y) \in \mathcal{G}$. This situation is a special case of the notion of a topological graph (see [15, Definition 1.1]). Consider the one-sided sequence space

$$X_+(\mathcal{G}) := \left\{ (x_i)_{i \in \mathbb{N}} \in \prod_{i \in \mathbb{N}} V : (x_i, x_{i+1}) \in \mathcal{G} \forall i \in \mathbb{N} \right\}.$$

There is a shift mapping $\sigma_{\mathcal{G}} : X_+(\mathcal{G}) \rightarrow X_+(\mathcal{G})$, $\sigma_+(x_i)_{i \in \mathbb{N}} := (x_{i+1})_{i \in \mathbb{N}}$. We define the set

$$\mathcal{R}_{\mathcal{G}} := \{(x, n, y) \in X_+(\mathcal{G}) \times \mathbb{Z} \times X_+(\mathcal{G}) : \exists k \text{ with } \sigma_{\mathcal{G}}^{n+k}(x) = \sigma_{\mathcal{G}}^k(y)\}.$$

We can make $\mathcal{R}_{\mathcal{G}}$ into a groupoid by defining

$$r(x, n, y) := x, \quad d(x, n, y) := y \quad \text{and} \quad (x, n, y)(y, m, z) := (x, n + m, z). \quad (4.2)$$

With the additional assumption that $t, o : \mathcal{G} \rightarrow V$ are surjective local homeomorphisms, the groupoid $\mathcal{R}_{\mathcal{G}}$ is topologized by the following basis. For $k, l \in \mathbb{N}$ and open subsets $U_1, U_2 \subseteq X_+(\mathcal{G})$ such that $\sigma_+^k|_{U_1}$ and $\sigma_+^l|_{U_2}$ are homeomorphisms with the same open range, we declare the following set open:

$$\mathcal{U}(U_1, k, l, U_2) := \{(x, k - l, y) \in U_1 \times \mathbb{Z} \times U_2 : \sigma_+^k(x) = \sigma_+^l(y)\}. \quad (4.3)$$

This construction makes $\mathcal{R}_{\mathcal{G}}$ into an étale groupoid. Henceforth, we will always assume that both t and o are surjective local homeomorphisms.

Consider the $C(V)$ -bimodule $C(\mathcal{G})$ with left and right actions defined by o^* and t^* , respectively. There is a transfer operator $\mathfrak{L}_{\mathcal{G}} : C(\mathcal{G}) \rightarrow C(V)$ defined by

$$\mathfrak{L}_{\mathcal{G}}(\xi)(y) := \sum_{(x,y) \in \mathcal{G}} \xi(x, y).$$

We equip $C(\mathcal{G})$ with the inner product $\langle \xi, \eta \rangle_{C(\mathcal{G})} := \mathfrak{L}_{\mathcal{G}}(\bar{\xi}\eta)$. Then [15, Proposition 3.3] proves that

$$O_{C(\mathcal{G})} \cong C^*(\mathcal{R}_{\mathcal{G}}),$$

whenever t and o are surjective local homeomorphisms. This isomorphism is \mathbb{T} -equivariant for the \mathbb{T} -action on $C^*(\mathcal{R}_{\mathcal{G}})$ induced from the groupoid cocycle $c_{\mathcal{G}}(x, n, y) := n$.

The situation from [15] fits into the theme of this paper through the graph

$$\mathcal{G}_g := \{(x, g(x)) \in V \times V : x \in V\}, \quad (4.4)$$

for the surjective local homeomorphism $g : V \rightarrow V$ considered in the previous subsection. This satisfies all the conditions above and it is routine to show that $E \cong C(\mathcal{G})$ as Hilbert bimodules using the pullback along $o : \mathcal{G} \rightarrow V$. It is immediate from the definition that there is a conjugacy between $(X_+(\mathcal{G}_g), \sigma_{\mathcal{G}_g})$ and (V, g) , and that

$$\mathcal{R}_{\mathcal{G}_g} \cong \mathcal{R}_g := \{(x, n, y) \in V \times \mathbb{Z} \times V : \exists k \text{ with } g^{n+k}(x) = g^k(y)\}. \quad (4.5)$$

We summarize the discussion above into the following result.

THEOREM 4.2. *Suppose that $g : V \rightarrow V$ is a surjective local homeomorphism defining a $*$ -monomorphism g^* on $C(V)$. Let $E_g := {}_{\text{id}}C(V)_{g^*}$, and let \mathcal{R}_g be as in equation (4.5). The $*$ -homomorphism $C(V) \rightarrow C^*(\mathcal{R}_g)$ defined from the diagonal inclusion and the mapping*

$$t : E \rightarrow C^*(\mathcal{R}_g), \quad t(\xi)(x, n, y) := \begin{cases} \xi(x), & g(x) = y, \quad n = 1, \\ 0 & \text{otherwise} \end{cases}$$

define a covariant representation that induces a \mathbb{T} -equivariant isomorphism

$$\pi_E : O_E \rightarrow C^*(\mathcal{R}_g).$$

Remark 4.3. The graph $\mathcal{G}_g^{\text{op}} = \{(g(x), x) \in V \times V : x \in V\}$ gives rise to a dynamics very different from that of \mathcal{G}_g . Using (3.1), we have that $X_+(\mathcal{G}_g^{\text{op}}) = X_V$. The $C(V)$ -Hilbert C^* -module $C(\mathcal{G}_g^{\text{op}})$ is unitarily equivalent to $E^{\text{op}} := {}_{\varphi}C(V)_{\text{id}}$ with the inner product $\langle \xi, \eta \rangle_{E^{\text{op}}} = \bar{\xi}\eta$. Moreover, the identification $X_+(\mathcal{G}_g^{\text{op}}) = X_V$ induces a conjugacy

$$(X_+(\mathcal{G}_g^{\text{op}}), \sigma_{\mathcal{G}_g^{\text{op}}}) \cong (X_V, \varphi_g^{-1}).$$

That is, $\sigma_{\mathcal{G}_g^{\text{op}}}$ is a homeomorphism. Hence, $\mathcal{R}_{\mathcal{G}_g^{\text{op}}} \cong X_V \rtimes_{\varphi} \mathbb{Z}$ as groupoids and, by [15, Proposition 3.3], there is a \mathbb{T} -equivariant isomorphism $O_{E^{\text{op}}} \cong C(X_V) \rtimes_{\varphi} \mathbb{Z}$ when equipping $C(X_V) \rtimes_{\varphi} \mathbb{Z}$ with the dual \mathbb{T} -action.

4.3. Wieler’s axioms and Cuntz–Pimsner algebras. Let us discuss the assumption of g being a local homeomorphism. For the purposes of the present paper, the minimal assumptions on g should not only ensure that X_V is a Smale space but also that there is an associated Cuntz–Pimsner algebra. In view of Theorem 4.2, to use $E := C(V)_{g^*}$ it is necessary that E admits a right Hilbert C^* -module structure. That is, the existence of a right inner product with values in $C(V)$ that is compatible with g . The question of existence of such inner products was considered in detail by Pavlov and Troitsky [36] as follows.

COROLLARY 4.4. [36, Theorems 1.1 and 2.9] *Let $g : V \rightarrow V$ be a finite-to-one surjection. Then the following are equivalent:*

- (1) $E = C(V)_{g^*}$ admits a right $C(V)$ -Hilbert C^* -module structure;
- (2) g is a branched covering in the sense of [36, Definition 2.4]; and
- (3) g is open.

Thus, we obtain a Cuntz–Pimsner model for $C^*(\mathcal{R}_g)$ using E only when $g : V \rightarrow V$ is an open map. Since g also satisfies Wieler’s axioms, we obtain the following result using Remark 3.9.

PROPOSITION 4.5. *Let $g : V \rightarrow V$ be a surjection satisfying Wieler’s axioms. Then the following are equivalent:*

- (1) $E = C(V)_{g^*}$ admits a right $C(V)$ -Hilbert C^* -module structure;
- (2) g is a local homeomorphism; and
- (3) g is open.

Thus, the assumption that g is a local homeomorphism covers the study of Wieler solenoids that admit a Cuntz–Pimsner model of the kind described in Theorem 4.2 (cf. §6.3 on p. 30 below).

5. The Cuntz–Pimsner extension as an unbounded Kasparov module

As in §4, we consider a surjective local homeomorphism $g : V \rightarrow V$. Again, the results in this section do *not* assume that g satisfies Wiener’s axioms. In this section, we study an explicit unbounded representative for the boundary mapping in $KK_{\mathbb{T}}^{\mathbb{T}}(O_E, C(V))$ coming from the \mathbb{T} -equivariant short exact sequence

$$0 \rightarrow \mathbb{K}_{C(V)} \rightarrow \mathcal{T}_E \rightarrow O_E \rightarrow 0. \quad (5.1)$$

The consequences in K -theory and K -homology will be studied in §5.3.

5.1. The κ -function. For a subshift of finite type, the groupoid \mathcal{R}_g encodes the relation of shift–tail equivalence. The continuous cocycle $c(x, n, y) := n$ allows for a decomposition of \mathcal{R}_g into clopen subsets. In [19, Lemma 5.1.1], it was observed that this decomposition can be further refined using the natural number k arising in the analogue of (4.5). We now show that a decomposition of the groupoid \mathcal{R}_g , as described in [19], exists for a general local homeomorphism $g : V \rightarrow V$.

Definition 5.1. For a surjective local homeomorphism $g : V \rightarrow V$, we define $\kappa : \mathcal{R}_g \rightarrow \mathbb{Z}$ by

$$\kappa(x, n, y) := \min\{k \in \mathbb{N} : g^{n+k}(x) = g^k(y)\}.$$

We also define $c_{\mathcal{R}_g} : \mathcal{R}_g \rightarrow \mathbb{Z}$ by

$$c_{\mathcal{R}_g}(x, n, y) := n.$$

It is implicitly understood in the definition of κ that $k + n \geq 0$, so that both sides of the equation $g^{n+k}(x) = g^k(y)$ are well defined.

PROPOSITION 5.2. *The functions κ and $c_{\mathcal{R}_g}$ from Definition 5.1 are locally constant and continuous.*

Proof. It suffices to prove that $c_{\mathcal{R}_g}$ and κ are locally constant; then continuity follows automatically. On an open set of the form $\mathcal{U}(U_1, k, l, U_2) \subseteq \mathcal{R}_g$ from the basis of the topology in (4.3), we have that

$$\kappa|_{\mathcal{U}(U_1, k, l, U_2)} = l \quad \text{and} \quad c_{\mathcal{R}_g}|_{\mathcal{U}(U_1, k, l, U_2)} = k - l.$$

Thus, κ and $c_{\mathcal{R}_g}$ are locally constant. \square

We often suppress the index from $c_{\mathcal{R}_g}$. Since κ is locally constant, we can decompose \mathcal{R}_g into a disjoint union of clopen sets:

$$\mathcal{R}_g = \dot{\bigcup}_{n \in \mathbb{Z}} \dot{\bigcup}_{k \geq -n} \mathcal{R}_g^{n, k} \quad \text{where} \quad \mathcal{R}_g^{n, k} := c^{-1}(\{n\}) \cap \kappa^{-1}(\{k\}). \quad (5.2)$$

Define the $C(V)$ -Hilbert C^* -modules $\Xi_{n, k} := C(\mathcal{R}_g^{n, k}) \subseteq C_c(\mathcal{R}_g)$. We equip $\Xi_{n, k}$ with the \mathbb{T} -action defined from c ; that is, $z \cdot \xi := z^n \xi$ for $\xi \in \Xi_{n, k}$. Also, let $L^2(\mathcal{R}_g)_{C(V)}$ denote the completion of $C_c(\mathcal{R}_g)$ as a $C(V)$ -Hilbert C^* -module in the inner product defined from the expectation

$$\varrho : C_c(\mathcal{R}_g) \rightarrow C(V), \quad \varrho(f)(x) := f(x, 0, x). \quad (5.3)$$

We then equip $L^2(\mathcal{R}_g)_{C(V)}$ with the \mathbb{T} -action defined from c ; that is, $(z \cdot f)(x, n, y) := z^n f(x, n, y)$.

Remark 5.3. Under the identification $k = r - n$, the notation $\Xi_{n,k}$ aligns with the notation used in [20] for the decomposition of a Cuntz–Pimsner algebra.

PROPOSITION 5.4. *The modules $\Xi_{n,k}$ are finitely generated projective $C(V)$ -modules. Moreover, the identification*

$$E^{\otimes n} \cong C(V \times_g V \times_g \cdots \times_g V) \cong C_c(\mathcal{R}_g^{n,0}) = \Xi_{n,0}$$

defines a \mathbb{T} -equivariant unitary isomorphism $u_n : E^{\otimes n} \rightarrow \Xi_{n,0}$.

Proof. It follows from Lemma 3.11 that $\mathcal{R}_g^{n,k} \rightarrow V$ is a finite-to-one covering map, so $\Xi_{n,k}$ is a finitely generated projective $C(V)$ -module. That u_n is a unitary operator follows from a short computation. \square

PROPOSITION 5.5. *There is an orthogonal direct sum decomposition of \mathbb{T} -equivariant $C(V)$ -Hilbert C^* -modules*

$$L^2(\mathcal{R}_g)_{C(V)} = \bigoplus_{n \in \mathbb{Z}} \bigoplus_{k \geq -n} \Xi_{n,k}.$$

Proof. It is immediate from equation (5.2) that $L^2(\mathcal{R}_g)_{C(V)}$ coincides with the closed linear span of $\Xi_{n,k}$ as n and k vary. The construction of the inner product shows that elements of $C_c(\mathcal{R}_g)$ with disjoint supports are orthogonal; hence, $\Xi_{n,k} \perp \Xi_{n',k'}$ if $n \neq n'$ or $k \neq k'$. \square

5.2. The unbounded representative. The functions c and κ combine into an unbounded KK -cycle in the same way as in [19]. Let $T := \{(n, k) \in \mathbb{Z} \times \mathbb{N} : k \geq -n\}$. We consider the function

$$\psi : T \rightarrow \mathbb{Z}, \quad \psi(n, k) := \begin{cases} n, & k = 0, \\ -|n| - k, & k > 0. \end{cases}$$

PROPOSITION 5.6. *The closure of the operator $D_0 := \psi(c, \kappa) : C_c(\mathcal{R}_g) \rightarrow C_c(\mathcal{R}_g)$ defines a \mathbb{T} -equivariant self-adjoint regular operator $D : \text{Dom}(D) \rightarrow L^2(\mathcal{R}_g)_{C(V)}$ with compact resolvent and spectrum \mathbb{Z} . The positive spectral projection of D coincides with the projection onto $\bigoplus_{n=0}^{\infty} \Xi_{n,0}$.*

Proof. The operator $(i \pm D_0)^{-1} : C_c(\mathcal{R}_g) \rightarrow C_c(\mathcal{R}_g)$ is bijective and D_0 is symmetric for the inner product induced by the expectation (5.3); hence, its closure D is regular and self-adjoint. Moreover, we can write

$$(i \pm D)^{-1} = \sum_{n,k} (i \pm \psi(n, k))^{-1} p_{n,k},$$

where $p_{n,k}$ denotes the projection onto the finitely generated projective module $\Xi_{n,k}$. Since $\psi(n, k) \rightarrow \infty$ as $(n, k) \rightarrow \infty$ in T , it follows that $(i \pm D)^{-1}$ is the norm limit of finite-rank operators. Moreover, $\psi(n, k) \geq 0$ if and only if $k = 0$ and the last statement in the proposition follows. \square

Recall the notation $\pi_E : O_E \rightarrow C^*(\mathcal{R}_g)$ for the isomorphism from Theorem 4.2. Let $\beta_E : O_E \rightarrow \mathcal{Q}(F_E) := \text{End}_{C(V)}^*(F_E)/\mathbb{K}_{C(V)}(F_E)$ denote the \mathbb{T} -equivariant Busby invariant of the extension (5.1). For a Hilbert C^* -module E , we let

$$q : \text{End}_{C(V)}^*(E) \rightarrow \mathcal{Q}(E) := \text{End}_{C(V)}^*(E)/\mathbb{K}_{C(V)}(E)$$

denote the quotient mapping. Recall the definition of $u_n : E^{\otimes n} \rightarrow \Xi_{n,0}$ from Proposition 5.4.

PROPOSITION 5.7. *The \mathbb{T} -equivariant inner product preserving adjointable mapping $u := \bigoplus_{n \in \mathbb{N}} u_n : F_E \rightarrow \bigoplus_{n=0}^{\infty} \Xi_{n,0} \hookrightarrow L^2(\mathcal{R}_g)_{C(V)}$ satisfies*

$$\beta_E = q \circ \text{Ad}(u) \circ \pi_E : O_E \rightarrow \mathcal{Q}(F_E).$$

Proof. It suffices to prove that $\beta_E(a) = q \circ \text{Ad}(u) \circ \pi_E(a)$ for $a \in C(V) \cup \{\tilde{T}_\xi \bmod \mathbb{K} : \xi \in E\}$ because this set generates O_E . The equation is trivially satisfied for $a \in C(V)$. For $\xi \in E$, Theorem 4.2 shows that $\pi_E(\tilde{T}_\xi) = t_\xi$. The element $\eta \in E^{\otimes n} = C(V \times_g \cdots \times_g V)$ is mapped by u_n to the element

$$u\eta(x, n, y) = \eta(x, g(x), \dots, g^{n-1}(x), y).$$

We have

$$t_\xi u\eta(x, n+1, y) = \xi(x)\eta(g(x), g^2(x), \dots, g^n(x), y) = [u(\xi \otimes_{C(V)} \eta)](x, n+1, y).$$

Therefore, $u^*t_\xi u = \tilde{T}_\xi$ and $\beta_E(\tilde{T}_\xi) = q \circ \text{Ad}(u) \circ \pi_E(\tilde{T}_\xi)$. \square

THEOREM 5.8. *Let $g : V \rightarrow V$ be a surjective local homeomorphism on a compact space V . The \mathbb{T} -equivariant unbounded KK_1 -cycle $(L^2(\mathcal{R}_g)_{C(V)}, D)$ for $(C^*(\mathcal{R}_g), C(V))$ represents the extension (5.1) in $KK_1^{\mathbb{T}}(O_E, C(V))$ under the isomorphism π_E .*

Proof. By Proposition 5.6, $(L^2(\mathcal{R}_g)_{C(V)}, D)$ is a \mathbb{T} -equivariant unbounded Kasparov module if D has bounded commutators with a dense subalgebra of $C^*(\mathcal{R}_g)$. We proceed to show that for $f \in C(\mathcal{R}_g^{1,0})$, the commutator

$$[D, f] : C_c(\mathcal{R}_g) \rightarrow C_c(\mathcal{R}_g)$$

extends to a bounded operator on $L^2(\mathcal{R}_g)_{C(V)}$. Since $C_c(\mathcal{R}_g)$ is a core for D and $C(\mathcal{R}_g^{1,0})$ generates $C_c(\mathcal{R}_g)$ as a $*$ -algebra, this suffices.

We compute

$$\begin{aligned} [D, f]h(x, n, y) &= (D(f * h) - f * Dh)(x, n, y) \\ &= \sum_{(x,m,z) \in \text{supp } f} [\psi(x, n, y)f(x, m, z)h(z, n-m, y) \\ &\quad - f(x, m, z)\psi(z, n-m, y)h(z, n-m, y)] \\ &= (\psi(x, n, y) - \psi(g(x), n-1, y))f(x, 1, g(x))h(g(x), n-1, y) \\ &= (\psi(x, n, y) - \psi(g(x), n-1, y))(f * h)(x, n, y). \end{aligned}$$

A standard computation shows that pointwise multiplication by a bounded function in $C_b(\mathcal{R}_g)$ defines an adjointable operator on $L^2(\mathcal{R}_g)_{C(V)}$. Thus, it suffices to establish that

$$(x, n, y) \mapsto \psi(x, n, y) - \psi(g(x), n-1, y) \tag{5.4}$$

is a bounded function. This follows from distinguishing the following four cases.

- (1) $\kappa(x, n, y) = \kappa(g(x), n - 1, y) = 0$: in this case $\psi(x, n, y) - \psi(g(x), n - 1, y) = n - (n - 1) = 1$.
- (2) $0 = \kappa(x, n, y) < \kappa(g(x), n - 1, y)$: $\kappa(x, n, y) = 0$ gives that $n \geq 0$ and $g^n(x) = y$, whereas $k := \kappa(g(x), n - 1, y) > 0$ means that $g^k(y) = g^{n-1+k}(g(x)) = g^{n+k}(x)$. This contradicts the minimality of $\kappa(x, n, y)$ unless $n = 0$, in which case it must hold that $\kappa(g(x), n - 1, y) = 1$. Then

$$\psi(x, n, y) - \psi(g(x), n - 1, y) = n + |n - 1| + \kappa(g(x), n - 1, y) = 2.$$

- (3) $0 = \kappa(g(x), n - 1, y) < \kappa(x, n, y)$: this case is void because $\kappa(g(x), n - 1, y) = 0$ gives that $n - 1 \geq 0$ and $g^{n-1}(x) = y$, whereas $k := \kappa(x, n, y) > 0$ means that $g^{n+k}(x) = g^k(y)$, which contradicts the minimality of $\kappa(x, n, y)$.
- (4) $\min\{\kappa(x, n, y), \kappa(g(x), n - 1, y)\} > 0$: in this case we compute

$$\begin{aligned} & |\psi(x, n, y) - \psi(g(x), n - 1, y)| \\ &= | -|n| - \kappa(x, n, y) + |n - 1| + \kappa(g(x), n - 1, y) | \\ &\leq 1 + |\kappa(x, n, y) - \kappa(g(x), n - 1, y)| \leq 2. \end{aligned}$$

The last inequality follows from the observation that $n + \kappa(x, n, y) \geq 0$ and, if $n + \kappa(x, n, y) > 0$, then $\kappa(x, n, y) = \kappa(g(x), n - 1, y)$ by minimality considerations. If $n + \kappa(x, n, y) = 0$, then $n < 0$ and it must hold that $\kappa(g(x), n - 1, y) = \kappa(x, n, y) + 1$.

Therefore, $|\psi(x, n, y) - \psi(g(x), n - 1, y)| \leq 2$ for all (x, n, y) , and (5.4) defines a bounded function.

One observes that Proposition 5.7 implies that the \mathbb{T} -equivariant Busby invariant of the extension (5.1) is unitarily equivalent to the Busby invariant associated with the \mathbb{T} -equivariant unbounded KK^1 -cycle $(L^2(\mathcal{R}_g)_{C(V)}, D)$ to O_E . \square

Remark 5.9. In the case that (V, g) is a subshift of finite type associated to a matrix \mathbf{A} and λ is a finite \mathbf{A} -admissible word, a family of unbounded cycles $(C^*(\mathcal{R}_g), L^2(\mathcal{R}_g)_{C(V)}, D_\lambda)$ was constructed in [19, Theorem 5.1.7]. The cycle in Theorem 5.8 recovers this construction for $\lambda = \circ$, the empty word. The proof that $[D, f]$ is bounded is verbatim the same as the proof of [19, Lemma 5.1.6] for $\lambda = \circ$. Moreover, Theorem 5.8 can also be obtained as a special case of [20, Theorem 2.19]. This is done by adapting the discussion in [20, Examples 1.7 and 2.6 and §§2.3 and 2.5.2] to the case of a general surjective local homeomorphism.

5.3. The Pimsner sequence and its consequences. The extension (5.1) plays an important role in the computation of the K -theory and K -homology of Cuntz–Pimsner algebras (see [20, 38]). The next theorem follows from Theorem 5.8 and results in [38, §4]. The results in [38] are formulated in the non-equivariant setting, but the proofs extend mutatis mutandis to the \mathbb{T} -equivariant setting.

THEOREM 5.10. *Let $g : V \rightarrow V$ be a surjective local homeomorphism on a compact space V . There is an exact triangle in the triangulated category $KK^{\mathbb{T}}$:*

$$\begin{array}{ccc} C(V) & \xrightarrow{1-[E_g]} & C(V) \\ & \swarrow \circlearrowleft & \searrow \iota \\ & [L^2(\mathcal{R}_g)_{C(V), D}] & C^*(\mathcal{R}_g) \end{array}$$

Here $\iota \in KK_0^{\mathbb{T}}(C(V), C^*(\mathcal{R}_g))$ is induced from the inclusion $C(V) \hookrightarrow C^*(\mathcal{R}_g)$ and $[E_g] \in KK_0^{\mathbb{T}}(C(V), C(V))$ from the bimodule E_g equipped with the \mathbb{T} -action $z \cdot \xi := z\xi$.

In practice, the theorem above is most useful to deduce six-term exact sequences in KK -theory.

COROLLARY 5.11. *For any separable C^* -algebra B , we have the following two six-term exact sequences:*

$$\begin{array}{ccccc} KK_0(B, C(V)) & \xrightarrow{1-[E_g]} & KK_0(B, C(V)) & \xrightarrow{\iota_*} & KK_0(B, C^*(\mathcal{R}_g)) \\ \uparrow -\otimes [L^2(\mathcal{R}_g)_{C(V), D}] & & & & \downarrow -\otimes [L^2(\mathcal{R}_g)_{C(V), D}] \\ KK_1(B, C^*(\mathcal{R}_g)) & \xleftarrow{\iota_*} & KK_1(B, C(V)) & \xleftarrow{1-[E_g]} & KK_1(B, C(V)) \\ KK_0(C^*(\mathcal{R}_g), B) & \xrightarrow{\iota^*} & KK_0(C(V), B) & \xrightarrow{1-[E_g]} & KK_0(C(V), B) \\ \uparrow [L^2(\mathcal{R}_g)_{C(V), D}] \otimes - & & & & \downarrow [L^2(\mathcal{R}_g)_{C(V), D}] \otimes - \\ KK_1(C(V), B) & \xleftarrow{1-[E_g]} & KK_1(C(V), B) & \xleftarrow{\iota^*} & KK_1(C^*(\mathcal{R}_g), B) \end{array}$$

The same statement holds in \mathbb{T} -equivariant KK -theory if B is a separable \mathbb{T} - C^* -algebra.

The next proposition is useful for computations with the sequences in Corollary 5.11; it is inspired by [18, §3]. We first introduce some notation. We choose a cover $(U_j)_{j=1}^N$ of V such that $g|_{U_j}$ is injective for any j . Choose a subordinate partition of unity $(\chi_j^2)_{j=1}^N$. It is well known that χ_j is a frame for E_g and the right module mapping

$$v : E_g \rightarrow C(V)^N, \quad x \mapsto (\langle \chi_j, x \rangle_E)_{j=1}^N$$

is inner product preserving, i.e. $v^*v = \text{id}_{E_g}$. More generally, whenever $(e_j)_{j=1}^N$ is a frame for E_g we can define v as above. We denote the associated left representation by

$$\theta : C(V) \rightarrow C(V, M_N(\mathbb{C})), \quad a \mapsto vav^*. \quad (5.5)$$

PROPOSITION 5.12. *Let $[\theta] \in KK_0^{\mathbb{T}}(C(V), C(V))$ denote the class associated with the equivariant $*$ -homomorphism θ and $t \in KK_0^{\mathbb{T}}(\mathbb{C}, \mathbb{C}) \cong R(\mathbb{T}) \cong \mathbb{Z}[t, t^{-1}]$ the class associated with the representation given by the inclusion $\mathbb{T} \subseteq \mathbb{C}$. Then*

$$[E_g] = t \otimes_{\mathbb{C}} [\theta] \in KK_0^{\mathbb{T}}(C(V), C(V)).$$

Define ${}_g E_g$ as the bimodule ${}_g C(V)_{g^*}$ or, equivalently, the trivial bimodule structure associated with the right module structure on E_g . Then

$$[E_g] \otimes_{C(V)} [g^*] = [{}_g E_g] \quad \text{in } KK_0^{\mathbb{T}}(C(V), C(V)).$$

In particular, if E_g is free of rank r as a right module, then $[E_g] \otimes_{C(V)} [g^*] = rt \otimes_{\mathbb{C}} [1_{C(V)}]$.

Proof. Let E_g^{\perp} denote the right module $(1 - vv^*)C(V)^N$ equipped with the trivial left action of $C(V)$ and the trivial \mathbb{T} -action. Let ${}_{\theta} C(V)^N$ denote the right Hilbert module $C(V)^N$ equipped with the left action defined from θ and the trivial \mathbb{T} -action. The isometry v and the inclusion $E_g^{\perp} \subseteq C(V)^N$ implement an equivariant unitary equivalence of bimodules $(t^{-1} \otimes E_g) \oplus E_g^{\perp} \cong {}_{\theta} C(V)^N$. The second statement follows immediately from the first statement since v is $C(V)$ -linear, so $\theta(g^*(a)) = vg^*(a)v^* = g(a)vv^*$. \square

Remark 5.13. The applicable aspect of Corollary 5.11 is the computation of K -theoretic invariants from the knowledge of the action of E_g on KK -theory. The non-equivariant version gives K -theoretic information about $C^*(\mathcal{R}_g)$. The equivariant version produces information about the fixed point algebra $C^*(\mathcal{R}_g)^{\mathbb{T}}$. This is of interest below for the stable and unstable algebras of Smale spaces; see the discussion in Remark 6.8. The K -theory of $C^*(\mathcal{R}_g)^{\mathbb{T}}$ is computed using the Green–Julg theorem and the Morita equivalence $C^*(\mathcal{R}_g) \rtimes \mathbb{T} \sim_M C^*(\mathcal{R}_g)^{\mathbb{T}}$ induces an isomorphism $K_*^{\mathbb{T}}(C^*(\mathcal{R}_g)) \cong K_*(C^*(\mathcal{R}_g)^{\mathbb{T}})$. Moreover, if $C^*(\mathcal{R}_g)$ is \mathbb{T} -equivariantly Poincaré dual to a \mathbb{T} - C^* -algebra D , then $K_*(D \rtimes \mathbb{T}) \cong K_{\mathbb{T}}^{*+j}(C^*(\mathcal{R}_g))$, where j is the dimension of the Poincaré duality.

Example 5.14. Let us do some computations on the equivariant K -theory and K -homology of the solenoid associated with an expanding matrix $A \in M_d(\mathbb{Z})$ from Example 3.14. This computation extends [18, Theorem 4.9]. We let $g_A : (S^1)^d \rightarrow (S^1)^d$ denote the mapping associated with the expanding integer matrix A .

The module E_{g_A} is free of rank $|\det(A)|$ as a right $C((S^1)^d)$ -module (see [18, Lemma 2.6]). By Proposition 5.12,

$$[E_{g_A}] \otimes_{C((S^1)^d)} [g_A^*] = |\det(A)|t \otimes_{\mathbb{C}} [1_{C((S^1)^d)}] \quad \text{in } KK^{\mathbb{T}}(C((S^1)^d), C((S^1)^d)).$$

We emphasize that the \mathbb{T} -action on $C((S^1)^d)$ is trivial and t is the generator of the representation ring $R(\mathbb{T})$. The equivariant K -theory group $K_*^{\mathbb{T}}(C((S^1)^d)) \cong \wedge^* \mathbb{Z}^d \otimes \mathbb{Z}[t, t^{-1}]$ can be computed as a module over $R(\mathbb{T}) \cong \mathbb{Z}[t, t^{-1}]$. One easily verifies that $[g_A^*]$ acts as $\wedge^* A \otimes \text{id}_{\mathbb{Z}[t, t^{-1}]}$ under this isomorphism, so $[E_{g_A}]$ acts as $B \otimes t$, where

$$B = \bigoplus_{j=0}^d B_j \in \bigoplus_{j=0}^d \text{End}(\wedge^j \mathbb{Z}^d)$$

satisfies $B_j \wedge^j A = N \text{id}_{\wedge^j \mathbb{Z}^d}$. The matrix B is computed in [18, Proposition 4.6]. From these considerations and Corollary 5.11, we then have

$$K_i^{\mathbb{T}}(O_{E_{g_A}}) \cong \bigoplus_{j \in i+2\mathbb{Z}} \text{coker}(1 - B_j t : \wedge^j \mathbb{Z}^d \otimes \mathbb{Z}[t, t^{-1}] \rightarrow \wedge^j \mathbb{Z}^d \otimes \mathbb{Z}[t, t^{-1}]). \quad (5.6)$$

This gives a new proof of the computation of $K_*(O_{E_{g_A}})$ in [18, Theorem 4.9] using the Pimsner–Voiculescu sequence, i.e. a localization in the trivial \mathbb{T} -representation. For instance, if $d = 1$ and $A = n \in \mathbb{Z} \setminus \{-1, 0, 1\}$, then $K_0^{\mathbb{T}}(O_{E_{g_A}}) = \mathbb{Z}[1/n]$ and $K_1^{\mathbb{T}}(O_{E_{g_A}}) = \mathbb{Z}$ with t acting as $1/|n|$ in degree 0 and as $\text{sign}(n)$ in degree 1.

Similarly, using the fact that $1 - B_j^T$ is injective for $0 \leq j < d$ (see [18, Proposition 4.6]) and Corollary 5.11, we compute that

$$K^i(O_{E_{g_A}}) \cong \begin{cases} \mathbb{Z} \oplus \bigoplus_{j < d, \text{ odd}} \text{coker}(1 - B_j^T) & \text{for } i \text{ even and } \det(A) > 1, \\ \mathbb{Z} \oplus \bigoplus_{j < d, \text{ even}} \text{coker}(1 - B_j^T) & \text{for } i \text{ odd and } \det(A) > 1, \\ \bigoplus_{j \leq d, \text{ odd}} \text{coker}(1 - B_j^T) & \text{for } i \text{ even and } \det(A) < -1, \\ \bigoplus_{j \leq d, \text{ even}} \text{coker}(1 - B_j^T) & \text{for } i \text{ odd and } \det(A) < -1. \end{cases}$$

COROLLARY 5.15. *Suppose that $x \in KK_*(C^*(\mathcal{R}_g), B)$. The equation*

$$x = [L^2(\mathcal{R}_g)_{C(V)}, D] \otimes_{C(V)} y, \quad y \in KK_{*-1}(C(V), B)$$

admits a solution y if and only if $t^(x) = 0$ holds in $KK_*(C(V), B)$. The analogous \mathbb{T} -equivariant statement holds as well.*

6. The Morita equivalence with the stable Ruelle algebra

The standing assumption in this section is that (V, g) is a pair satisfying Wiener's axioms such that the associated Smale space (X, φ) is irreducible. Suppose that P is a finite φ -invariant set of periodic points of (X, φ) . Recall from §2.2 the stable groupoid $G^s(P)$, the stable algebra $C^*(G^s(P))$ and the stable Ruelle algebra $C^*(G^s(P)) \rtimes \mathbb{Z}$ constructed from (X, φ) . We will define a groupoid Morita equivalence $G^s(P) \rtimes \mathbb{Z} \sim_{\mathcal{R}_g}$ that will give rise to a Morita equivalence $C^*(G^s(P)) \rtimes \mathbb{Z} \sim_M O_E$ using Theorem 4.2. We then proceed to compute the product of the cycle in Theorem 5.8 with the Morita equivalence, using a κ -type function as in §5.

6.1. The topological space $Z^u(P)$. The étale groupoid $G^s(P)$ carries a continuous action of the integers \mathbb{Z} defined by $\alpha^n : (x, y) \mapsto (\varphi^n(x), \varphi^n(y))$ (the map α was defined in §2.2). Recall the construction of crossed products of a groupoid with a group action. Assume that G is an étale groupoid with a right action of a discrete group Γ . We can form the crossed product groupoid $G \rtimes \Gamma$ with unit space $G^{(0)}$ by setting $G \rtimes \Gamma := G \times \Gamma$ with domain, range and inverse mappings

$$d_{G \rtimes \Gamma}(g, \gamma) = d_G(g\gamma), \quad r_{G \rtimes \Gamma}(g, \gamma) := r_G(g) \quad \text{and} \quad (g, \gamma)^{-1} := (g\gamma, \gamma^{-1}).$$

The composition is defined whenever $d(g_1\gamma_1) = r(g_2)$ and is given by

$$(g_1, \gamma_1) \cdot (g_2, \gamma_2) = (g_1(g_2\gamma_1^{-1}), \gamma_1\gamma_2).$$

Notation 6.1. For notational convenience and compatibility with \mathcal{R}_g (cf. (4.2)), we identify the groupoid $G^s(P) \rtimes \mathbb{Z}$ with the set of triples (x, n, y) with $(x, \varphi^{-n}(y)) \in$

$G^s(P)$ and $n \in \mathbb{Z}$. The range and domain maps in this model of $G^s(P) \rtimes \mathbb{Z}$ are given by $r(x, n, y) := x$ and $d(x, n, y) := y$, respectively. The composition is defined by $(x, n, y)(y, m, z) = (x, n + m, z)$.

Definition 6.2. Similarly to Definition 5.1, we define the groupoid cocycle

$$c_{G^s(P) \rtimes \mathbb{Z}} : G^s(P) \rtimes \mathbb{Z} \rightarrow \mathbb{Z}, \quad c_{G^s(P) \rtimes \mathbb{Z}}(x, n, y) := n.$$

The \mathbb{Z} -grading on $C^*(G^s(P) \rtimes \mathbb{Z})$ defined from $c_{G^s(P) \rtimes \mathbb{Z}}$ coincides with the grading coming from the crossed product structure $C^*(G^s(P) \rtimes \mathbb{Z}) \cong C^*(G^s(P)) \rtimes \mathbb{Z}$.

We define the space

$$Z := \{(x, j, v) \in X \times \mathbb{Z} \times V : \exists k \text{ such that } g^{k+j}(\pi_0(x)) = g^k(v)\}$$

and consider the subspace

$$Z^u(P) := \{(x, j, v) \in Z : x \in X^u(P)\} \subset Z. \quad (6.1)$$

We will provide a locally compact Hausdorff topology on $Z^u(P)$ below.

LEMMA 6.3. *If $(x, j, v) \in Z^u(P)$ and $y \in X$ is such that $\pi_0(y) = v$, then $y \sim_s \varphi^j(x)$.*

Proof. Write $x = (x_0, x_1, \dots)$ and observe that by definition of $Z^u(P)$ there exists $k \in \mathbb{N}$ such that $g^{k+j}(x_0) = g^k(v)$. For $y_1, y_2, \dots \in V$ such that $y := (v, y_1, \dots) \in X$, we have

$$\varphi^k(y) = (g^k(v), g^{k-1}(v), \dots, v, y_1, \dots) = (g^{k+j}(x_0), \dots).$$

Therefore, $\varphi^k(y)_0 = \varphi^{k+j}(x)_0$. Using [52, Observation preceding Lemma 3.1] and a short induction argument, we find that $d_X(\varphi^{n+j}(x), \varphi^n(y)) = \gamma^{n-k} d_X(\varphi^{k+j}(x), \varphi^k(y))$ for $n \geq k$. In particular, we deduce that

$$\lim_{n \rightarrow \infty} d_X(\varphi^{n+j}(x), \varphi^n(y)) = 0,$$

so that $\varphi^j(x) \sim_s y$. □

To define a topology on $Z^u(P)$, we construct a neighbourhood basis of $(x, j, v) \in Z^u(P)$ as follows. By Lemma 6.3, $(\varphi^j(x), y) \in G^s(P)$ for any $y \in X^u(P)$ with $\pi_0(y) = v$. Such a y always exists by Theorem 3.12. Take a local neighbourhood $V^s(\varphi^j(x), y, N, U) \subseteq G^s(P)$ of $(\varphi^j(x), y)$ as in equation (2.3), with the following additional requirements:

- (1) $\pi_0 : U \rightarrow \pi_0(U)$ is a homeomorphism;
- (2) g^N is injective on $\pi_0(U)$;
- (3) $\pi_0 : \varphi^{-j}(h_N^s(U)) \rightarrow \pi_0(\varphi^{-j}(h_N^s(U)))$ is a homeomorphism;
- (4) g^{N+j} is injective on $\pi_0(\varphi^{-j}h_N^s(U))$.

Existence of sufficiently many such sets U also follows from Theorem 3.12. We declare the following set to be an element in the basis of the topology of $Z^u(P)$:

$$W^s((x, j, v), N, U) := \{(\varphi^{-j}(x'), j, \pi_0(y')) \in Z^u(P) : (x', y') \in V^s(\varphi^j(x), y, N, U)\}. \quad (6.2)$$

Note that $(\varphi^{-j}(x'), j, \pi_0(y')) \in Z^u(P)$ for all $(x', y') \in V^s(\varphi^j(x), y, N, U)$ by the following argument. We have $[\varphi^N(y'), \varphi^N(x)] \in X^s(\varphi^N(y'), \varepsilon_X/2)$. By Lemma 3.10, it follows that

$$\pi_0([\varphi^N(y'), \varphi^N(x)]) = \pi_0(\varphi^N(y')).$$

Then $g^{N+j}(\pi_0(\varphi^{-j}(h_N^s(y')))) = \pi_0([\varphi^N(y'), \varphi^N(x)]) = \pi_0(\varphi^N(y')) = g^N(\pi_0(y'))$.

PROPOSITION 6.4. *The maps $\rho_L : Z^u(P) \rightarrow X^u(P)$, $(x, j, v) \mapsto x$, $\rho_R : Z^u(P) \rightarrow V$, $(x, j, v) \mapsto v$ and $\pi_0 : Z^u(P) \rightarrow \mathcal{R}_g$, $(x, j, v) \mapsto (\pi_0(x), j, v)$ are surjective local homeomorphisms.*

Proof. The statement for ρ_L follows directly from the definition of the local neighbourhoods of $Z^u(P)$. For ρ_R , we know by Theorem 3.12 that $\pi_0 : X^u(P) \rightarrow V$ is a covering map. Thus, for $v \in V$, there exists $x \in X^u(P)$ with $\pi_0(x) = v$ and $(x, 0, v) \in Z^u(P)$. Then $v = \rho_R(x, 0, v)$, so ρ_R is surjective too. For a local neighbourhood $W^s((x, j, v), N, U)$ with U sufficiently small, we have that the open set $\rho_R(W^s((x, j, v), N, U)) = \pi_0(U)$ is homeomorphic to U because of Theorem 3.12. For the map π_0 , the local neighbourhoods in $Z^u(P)$ are defined in such a way that $W^s((x, j, v), N, U)$ is homeomorphic to $\pi_0(W^s((x, j, v), N, U))$, which proves the statement. \square

We now establish that the groupoids $G^s(P) \rtimes \mathbb{Z}$ and \mathcal{R}_g are Morita equivalent in the sense of [32]. This implies that the groupoid C^* -algebras $C^*(\mathcal{R}_g)$ and $C^*(G^s(P) \rtimes \mathbb{Z})$ are strongly Morita equivalent. An equivalence of groupoids is implemented by a topological space carrying appropriate left and right actions. We now show that the space $Z^u(P)$ constructed above implements the desired Morita equivalence.

PROPOSITION 6.5. *The map*

$$\zeta : Z^u(P) \rightarrow X^u(P)_{\pi_0} \times_r \mathcal{R}_g := \{(x, g) \in X^u(P) \times \mathcal{R}_g : \pi_0(x) = r(g)\}$$

given by $(x, j, v) \mapsto (x, (\pi_0(x), j, v))$ is a homeomorphism, where the right-hand side is equipped with the topology from the inclusion $X^u(P)_{\pi_0} \times_r \mathcal{R}_g \subseteq X^u(P) \times \mathcal{R}_g$. In particular, the actions

$$\begin{aligned} (G^s(P) \rtimes \mathbb{Z})_d \times_{\rho_L} Z^u(P) &\rightarrow Z^u(P), & (x, n, y) \cdot (y, j, v) &= (x, j + n, v), \\ Z^u(P)_{\rho_R} \times_r \mathcal{R}_g &\rightarrow Z^u(P), & (x, j, v) \cdot (v, n, w) &= (x, j + n, w) \end{aligned}$$

are well defined, free and proper.

The topology of $Z^u(P)$ is constructed in such a way that ζ is a homeomorphism; the inverse of ζ is the continuous mapping $\zeta^{-1}(x, (\pi_0(x), j, v)) = (x, j, v)$. That the actions are free and proper follows from a lengthier computation, which we omit. We deduce the following theorem from Proposition 6.5.

THEOREM 6.6. *Let (X, φ) be an irreducible Wiener solenoid arising from an open surjection $g : V \rightarrow V$ and suppose that $P \subseteq X$ is a finite φ -invariant set of periodic points. The space $Z^u(P)$ defines an étale groupoid Morita equivalence*

$$G^s(P) \rtimes \mathbb{Z} \sim_M \mathcal{R}_g$$

that respects the cocycles $c_{G^s(P) \rtimes \mathbb{Z}}$ (see Definition 6.2) and $c_{\mathcal{R}_g}$ (see Definition 5.1). In particular, there is a \mathbb{T} -equivariant Morita equivalence $C^(G^s(P)) \rtimes \mathbb{Z} \sim_M O_E$.*

Remark 6.7. The $(C^*(G^s(P)) \rtimes \mathbb{Z}, C^*(\mathcal{R}_g))$ Morita equivalence bimodule $L^2(Z^u(P))_{C^*(\mathcal{R}_g)}$ is defined from the étale Morita equivalence $Z^u(P)$ through the $C^*(\mathcal{R}_g)$ -valued inner product (see [32, p. 12])

$$\langle f_1, f_2 \rangle(v, n, w) := \sum_{(x, j, v) \in Z^u(P)} \overline{f_1(x, j, v)} f_2(x, j + n, w) \quad (6.3)$$

and gives a representation of $C^*(G^s(P)) \rtimes \mathbb{Z}$ on the space $L^2(Z^u(P))_{C^*(\mathcal{R}_g)} \otimes_{C^*(\mathcal{R}_g)} L^2(\mathcal{R}_g)_{C(V)}$. The latter space can be identified with the completion of $C_c(Z^u(P))$ in the $C(V)$ -valued inner product

$$\langle f_1, f_2 \rangle(v) := \sum_{(x, j, v) \in Z^u(P)} \overline{f_1(x, j, v)} f_2(x, j, v). \quad (6.4)$$

For $f_1, f_2 \in C_c(Z^u(P))$, the sums on the right-hand side are finite. The $C(V)$ -valued inner product (6.4) on $C_c(Z^u(P))$ can be realized by a convolution product $C_c(Z^u(P))^{\text{op}} \times C_c(Z^u(P)) \rightarrow C_c(\mathcal{R}_g)$ composed with the conditional expectation ϱ on $C_c(\mathcal{R}_g)$. We use the notation $L^2(Z^u(P))_{C(V)} := L^2(Z^u(P))_{C^*(\mathcal{R}_g)} \otimes_{C^*(\mathcal{R}_g)} L^2(\mathcal{R}_g)_{C(V)}$. To avoid notational confusion, we remark that $L^2(Z^u(P))_{C(V)}$ and $L^2(Z^u(P))_{C^*(\mathcal{R}_g)}$ are different as vector spaces in general.

Remark 6.8. As a consequence of Theorem 6.6, there are isomorphisms

$$K_*(C^*(G^s(P))) \cong K_*^{\mathbb{T}}(O_E) \quad \text{and} \quad K_*(C^*(G^s(P)) \rtimes \mathbb{Z}) \cong K_*(O_E).$$

Both of these groups can be computed using Corollary 5.11. It was proven in [22] that $C^*(G^s(P)) \rtimes \mathbb{Z}$ and $C^*(G^u(P)) \rtimes \mathbb{Z}$ are Poincaré dual (with dimension shift 1). In particular, $K_*(C^*(G^u(P)) \rtimes \mathbb{Z}) \cong K_*^{*+1}(C^*(G^s(P)) \rtimes \mathbb{Z})$ can also be computed using Corollary 5.11; cf. Remark 5.13. If the Poincaré duality of [22] holds \mathbb{T} -equivariantly, then $K_*(C^*(G^u(P))) \cong K_{*+1}^{\mathbb{T}}(C^*(G^s(P)) \rtimes \mathbb{Z})$, which could also be computed using Corollary 5.11.

6.2. *A closer look at the bimodule $C_c(Z^u(P))$.* We wish to compute the Kasparov product of the bimodule induced by $Z^u(P)$ (see Theorem 6.6) with the \mathbb{T} -equivariant unbounded $(O_E, C(V))$ -cycle constructed in Theorem 5.8. To do so, we describe the module $L^2(Z^u(P))_{C^*(\mathcal{R}_g)}$ obtained by completing the space $C_c(Z^u(P))$ in the inner product (6.3). The module has a fairly simple structure. This is due to the fibre product structure of the space $Z^u(P) = X^u(P)_{\pi_0} \times_r \mathcal{R}_g$ from Proposition 6.5. We also provide a Cuntz–Pimsner model of $C^*(G^s(P)) \rtimes \mathbb{Z}$ along the way.

LEMMA 6.9. *Assume that $f \in C_c(Z^u(P))$ decomposes as a pointwise product $f(z) = f_1(z)f_2(z)$ with $f_i \in C_c(Z^u(P))$ and $\text{supp } f_i \subset W^s(z_0, N, W)$, for some z_0, N and W (cf. equation (6.2)). Then there exist $u \in C_c(X^u(P))$ and $v \in C_c(\mathcal{R}_g)$ such that $f(z) = u(\rho_L(z))v(\pi_0(z))$.*

Proof. For W small enough, $W^s(z_0, N, W)$ is homeomorphic to

$$U := \rho_L(W^s(z_0, N, W)) = \varphi^{-j} h_N^s(W)$$

and to

$$V := \pi_0(W^s(z_0, N, W)) = \mathcal{U}(\pi_0(U), N, j, W).$$

For a reminder on the notation \mathcal{U} , see equation 4.3. Let $\rho_L^U : U \rightarrow W^s(z_0, N, W)$ and $\rho_L^V : V \rightarrow W^s(z, N, W)$ denote the inverse mappings. Define $u := f_1 \circ \rho_L^U$ and $v := f_2 \circ \rho_L^V$ and extend to $X^u(P)$ and \mathcal{R}_g , respectively, by declaring

$$\text{supp } u \subset U, \quad \text{supp } v \subset V. \quad (6.5)$$

In particular, both u and v have compact support. The identity

$$W^s(z_0, N, W) = \rho_L^{-1}(U) \cap \pi_0^{-1}(V) \quad (6.6)$$

holds because if $(x, j, v) \in \rho_L^{-1}(V)$ then $x \in V_i = \varphi^{-j}h_N^s(W)$ and if $(x, j, v) \in \pi_0^{-1}(U)$ then $(\pi_0(x), j, v) \in U$, so $v \in \pi_0(W)$. This means that $(x, j, v) \in W^s(z_0, N, U)$. The other inclusion is obvious. Thus, we have that $u(\rho_L(z))v(\pi_0(z)) \neq 0$ implies that

$$z \in \rho_L^{-1}(U) \cap \pi_0^{-1}(V) = W^s(z_0, N, W)$$

by (6.5) and (6.6). Then

$$u(\rho_L(z))v(\pi_0(z)) = f_1(\rho_L^U \rho_L(z))f_2(\pi_0^V \pi_0(z)) = f_1(z)f_2(z) = f(z),$$

as desired. \square

Remark 6.10. Note that because $Z^u(P)$ is locally compact and Hausdorff, the decomposition $f = f_1 f_2$ assumed in Lemma 6.9 can always be achieved if f is supported in a set of the form $W^s(z_0, N, W)$. For our purposes, the above formulation of the lemma suffices.

LEMMA 6.11. *For $f \in C_c(Z^u(P))$, there exist $n \in \mathbb{N}$ and functions $u_i \in C_c(X^u(P))$ and $f_i \in C_c(\mathcal{R}_g)$ such that $f(z) = \sum_{i=1}^n u_i(\rho_L(z))f_i(\pi_0(z))$.*

Proof. Let $K := \text{supp } f$ and choose a finite open cover of K sets of the form $W_i := W^s(z_i, N_i, W_i)$ for $i = 1, \dots, N$. Adding $W_0 := Z^u(P) \setminus K$ gives a finite open cover of $Z^u(P)$. Let $(\chi_i^2)_{i=1}^n$ be a partition of unity subordinate to $(W_i)_{i=1}^n$, so

$$f(z) = \sum_{i=1}^n \chi_i^2(z)f(z) = \sum_{i=1}^n \chi_i(z)\chi_i(z)f(z).$$

The functions χ_i and $\chi_i f$ are supported in W_i . By Lemma 6.9, there exist functions $u_i \in C_c(X^u(P))$ and $f_i \in C_c(\mathcal{R}_g)$ such that $\chi_i^2(z)f(z) = u_i \rho_L(z)f_i(\pi_0(z))$. Thus, we have $f(z) = \sum_{i=1}^n u_i(\rho_L(z))f_i(\pi_0(z))$. \square

By Theorem 3.12, the map $\pi_0 : X^u(P) \rightarrow V$ is a covering map. As such, π_0 induces a conditional expectation

$$\pi_{0*} : C_c(X^u(P)) \rightarrow C(V), \quad \pi_{0*}f(v) := \sum_{x \in \pi_0^{-1}(v)} f(x).$$

We denote the $C(V)$ -Hilbert C^* -module completion of $C_c(X^u(P))$ by $L^2(X^u(P))_{C(V)}$.

PROPOSITION 6.12. *Let (X, φ) be an irreducible Wiener solenoid arising from an open surjection $g : V \rightarrow V$ and suppose that $P \subseteq X$ is a finite φ -invariant set of periodic points. The mapping*

$$\rho_L^* \otimes \pi_0^* : C_c(X^u(P)) \otimes_{C(V)} C_c(\mathcal{R}_g) \rightarrow C_c(Z^u(P)), \quad f \otimes h \mapsto \rho_L^* f \cdot \pi_0^* h \quad (6.7)$$

is a \mathbb{T} -equivariant inner product preserving surjection. The mapping (6.7) induces a \mathbb{T} -equivariant unitary isomorphism of C^ -modules*

$$L^2(X^u(P))_{C(V)} \otimes_{C(V)} C^*(\mathcal{R}_g) \rightarrow L^2(Z^u(P))_{C^*(\mathcal{R}_g)}.$$

Moreover, there exists a \mathbb{T} -equivariant isomorphism of $C^(\mathcal{R}_g)$ -Hilbert C^* -modules*

$$\mathcal{H} \otimes C^*(\mathcal{R}_g) \cong L^2(Z^u(P))_{C^*(\mathcal{R}_g)}, \quad (6.8)$$

for a separable Hilbert space \mathcal{H} with a trivial \mathbb{T} -action.

Proof. To see that the map $\rho_L^* \otimes \pi_0^*$ is well defined, we first assume that $f \otimes h$ is such that h is supported in a basic open neighbourhood $\mathcal{U}(U_1, k, N, U_2)$ in \mathcal{R}_g which lifts to a basic open neighbourhood $W^s(z, N, V_2)$ and f is supported in $V_1 := \rho_L(W^s(z, N, V_2))$. For such $f \otimes h$, we have that

$$\text{supp } \rho_L^*(f) \cdot \pi_0^*(h) \subset \rho_L^{-1}(V_1) \cap \pi_0^{-1}(V_2) = W^s(z, N, V_2).$$

Therefore, the support of $(\rho_L^* \otimes \pi_0^*)(f \otimes h)$ is compact. Using the balancing relation, a partition of unity argument and Proposition 6.4, we have that arbitrary tensors $f \otimes h$ can be written as a sum $f \otimes h = \sum_{i=1}^n f_i \otimes h_i$ for which each f_i and h_i satisfy the above support requirements. Thus, the map $\rho_L^* \otimes \pi_0^*$ is well defined. Surjectivity follows from Lemma 6.11. We prove preservation of the inner products:

$$\begin{aligned} & \langle f_1 \otimes h_1, f_2 \otimes h_2 \rangle(v, n, w) \\ &= h_1^* * \langle f_1, f_2 \rangle h_2(v, n, w) \\ &= \sum_{(v, j, w)} \sum_{x \in \pi_0^{-1}(v)} \overline{h_1(u, -j, v) f_1(x)} f_2(x) h_2(u, n - j, w) \\ &= \sum_{(v, j, w)} \sum_{x \in \pi_0^{-1}(v)} \overline{\rho_L^* f_1 \cdot \pi_0^* h_1(x, -j, v)} \rho_L^* f_2 \cdot \pi_0^* h_2(x, n - j, w) \\ &= \sum_{(x, j, v) \in Z^u(P)} \overline{\rho_L^* f_1 \cdot \pi_0^* h_1(x, j, v)} \rho_L^* f_2 \cdot \pi_0^* h_2(x, n + j, w) \\ &= \langle \rho_L^* f_1 \cdot \pi_0^* h_1, \rho_L^* f_2 \cdot \pi_0^* h_2 \rangle \quad \text{by equation (6.3)}. \end{aligned}$$

The second statement of the proposition follows immediately. The locally trivial bundle $X^u(P)$ gives rise to a Hilbert space bundle on V , which by Kuiper's theorem is trivial. The third statement of the theorem is similar, so that $L^2(X^u(P))_{C(V)} \cong \mathcal{H} \otimes C(V)$ as $C(V)$ -Hilbert C^* -modules. \square

COROLLARY 6.13. *Let (X, φ) be an irreducible Wiener solenoid arising from an open surjection $g : V \rightarrow V$ and suppose that $P \subseteq X$ is a finite φ -invariant set of periodic points. The isomorphisms of Theorem 4.2 and (6.8) give rise to a \mathbb{T} -equivariant $*$ -isomorphism*

$$C^*(G^s(P)) \rtimes \mathbb{Z} \cong O_E \otimes \mathbb{K},$$

where \mathbb{K} denotes the C^ -algebra of compact operators on a separable Hilbert space with a trivial \mathbb{T} -action.*

Remark 6.14. A result similar to Corollary 6.13 was proven in [49, Theorem 4.19] for the fixed point algebra of the \mathbb{T} -action assuming that g is expansive and the periodic points are dense in V . An equivariant version of [49, Theorem 4.19] implies Corollary 6.13 under these slightly stronger assumptions on g .

6.3. *A Cuntz–Pimsner model for the stable Ruelle algebra.* It is possible to construct $C^*(G^s(P)) \rtimes \mathbb{Z}$ as a Cuntz–Pimsner algebra defined from a non-unital coefficient algebra. We follow the terminology of [5]. For notational purposes, we write

$$\mathbb{K}_V(P) := \mathbb{K}_{C(V)}(L^2(X^u(P))_{C(V)}).$$

Based on Proposition 6.12, we define $\tilde{E} := L^2(X^u(P))_{C(V)} \otimes E \otimes_{C(V)} L^2(X^u(P))^*$, which is a $\mathbb{K}_V(P)$ -bi-Hilbertian bimodule with finite Jones–Watatani index. The Cuntz–Pimsner algebra $O_{\tilde{E}}$ over the coefficient algebra $\mathbb{K}_V(P)$ is therefore well defined. By Theorems 4.2, 6.6 and 6.12, there are isomorphisms

$$\begin{aligned} C^*(G^s(P)) \rtimes \mathbb{Z} &\cong \mathbb{K}_{C^*(\mathcal{R}_g)}(L^2(X^u(P))_{C(V)} \otimes C^*(\mathcal{R}_g)) \\ &\cong L^2(X^u(P))_{C(V)} \otimes O_E \otimes_{C(V)} L^2(X^u(P))^*. \end{aligned}$$

Under these isomorphisms, the obvious linear mapping $\tilde{E} \rightarrow L^2(X^u(P))_{C(V)} \otimes O_E \otimes_{C(V)} L^2(X^u(P))^*$ induces a linear mapping $\tilde{E} \rightarrow C^*(G^s(P)) \rtimes \mathbb{Z}$. It is readily verified that this is a covariant representation as in [38, Theorem 3.12] producing a $*$ -homomorphism $\tilde{\pi} : O_{\tilde{E}} \rightarrow C^*(G^s(P)) \rtimes \mathbb{Z}$. The mapping $\tilde{\pi}$ is bijective and we deduce the following result.

PROPOSITION 6.15. *Let (X, φ) be an irreducible Wiener solenoid arising from an open surjection $g : V \rightarrow V$ and suppose that $P \subseteq X$ is a finite φ -invariant set of periodic points. The mapping $\tilde{\pi} : O_{\tilde{E}} \rightarrow C^*(G^s(P)) \rtimes \mathbb{Z}$ is a $*$ -isomorphism. In particular, \tilde{E} provides a Cuntz–Pimsner model for the stable Ruelle algebra with coefficient algebra $\mathbb{K}_V(P) := \mathbb{K}_{C(V)}(L^2(X^u(P))_{C(V)})$.*

Remark 6.16. Theorem 6.6 and Proposition 6.15 are in a sense complementary. The algebra O_E is defined using a unital coefficient algebra, making it easier to work with, but it is only related to $C^*(G^s(P)) \rtimes \mathbb{Z}$ via a Morita equivalence. The algebra $O_{\tilde{E}}$ is defined using a non-unital coefficient algebra (isomorphic to $C(V, \mathbb{K})$), but is *explicitly isomorphic* to $C^*(G^s(P)) \rtimes \mathbb{Z}$.

6.4. *The κ -function on $Z^u(P)$.* To compute the Kasparov product of the cycle in Theorem 5.8 with the Morita equivalence from Theorem 6.6 as an explicit unbounded $(C^*(G^s(P)) \rtimes \mathbb{Z}, C(V))$ -cycle, we make use of a natural κ -function defined on $Z^u(P)$. To construct a self-adjoint regular operator on the module $L^2(Z^u(P))_{C(V)}$, we look at the pullback of the function $\kappa : \mathcal{R}_g \rightarrow \mathbb{N}$ through the map π_0 . Consider the functions

$$\kappa_Z(x, j, v) := \min\{k \geq \max\{0, -j\} : g^{k+j}(\pi_0(x)) = g^k(v)\}, \quad c_Z(x, j, v) = j.$$

LEMMA 6.17. *The functions κ_Z and c_Z are locally constant and hence continuous.*

Proof. For c_Z , this is an obvious fact. For κ_Z , this follows from the observation that $\kappa_Z = \kappa \circ \pi_0$, where $\pi_0 : Z^u(P) \rightarrow \mathcal{R}_g$. \square

The operator

$$D_Z := \psi(c, \kappa_Z) : C_c(Z^u(P)) \rightarrow C_c(Z^u(P))$$

defines a self-adjoint regular operator on $L^2(Z^u(P))_{C(V)}$. The tensor product module $L^2(Z^u(P))_{C^*(\mathcal{R}_g)} \otimes_{C^*(\mathcal{R}_g)} L^2(\mathcal{R}_g)_{C(V)}$ can be identified with the module $L^2(Z^u(P))_{C(V)}$ studied in Proposition 6.12. On the dense subspace $C_c(Z^u(P)) \otimes_{C_c(\mathcal{R}_g)} C_c(\mathcal{R}_g)$, this identification is realized by the convolution product. We use the standard notation

$$T_x : C_c(\mathcal{R}_g) \rightarrow C_c(Z^u(P)) \otimes_{C_c(\mathcal{R}_g)} C_c(\mathcal{R}_g) = C_c(Z^u(P)), \quad f \rightarrow x \otimes f = x * f$$

defined for elements $x \in C_c(Z^u(P))$.

LEMMA 6.18. *For $x \in C_c(Z^u(P))$, the commutator*

$$D_Z T_x - T_x D : C_c(\mathcal{R}_g) \rightarrow C_c(Z^u(P))$$

extends to a bounded operator $L^2(\mathcal{R}_g)_{C(V)} \rightarrow L^2(Z^u(P))_{C(V)}$.

Proof. By Lemma 6.11 and Proposition 6.12, it suffices to prove the statement for elements $x = \rho_L^*(u) \cdot \pi_0^*(v)$, with $u \in C_c(X^u(P))$ and $v \in C_c(\mathcal{R}_g)$. Moreover, since

$$\rho_L^*(u) \cdot \pi_0^*(v) = \rho_L^*(u) \cdot \pi_0^*(1_V * v) = \rho_L^*(u) \cdot \pi_0^*(1_V) * v$$

and, for any $x \in C_c(Z^u(P))$,

$$D_Z T_{x*v} - T_{x*v} D = (D_Z T_x - T_x D) * v + T_x[D, v],$$

we can further reduce the proof to the case where $x = \rho_L^*(u) \cdot \pi_0^*(1_V)$. For such x and $f \in C_c(\mathcal{R}_g)$, we have

$$\begin{aligned} & (D_Z T_x - T_x D) f(t, j, w) \\ &= (\psi(j, \kappa_Z(t, j, w)) - \psi(j, \kappa(\pi_0(t), j, w))) u(t) f(\pi_0(t), j, w) = 0, \end{aligned}$$

as desired. \square

Remark 6.19. The operator D_Z can be constructed from D in other ways. One other way is to choose a cover $(U_j)_{j=1}^N$ of V such that each $\pi_0 : \pi_0^{-1}(U_j) \subseteq X^u(P) \rightarrow V$ is trivializable, via a trivialization ψ_j , say. Pick a partition of unity $(\chi_j^2)_{j=1}^N$ subordinate to $(U_j)_{j=1}^N$. Associated with the data $(U_j, \psi_j, \chi_j)_{j=1}^N$ there is an adjointable inner product preserving $C^*(\mathcal{R}_g)$ -linear mapping $v : L^2(Z^u(P))_{C^*(\mathcal{R}_g)} \rightarrow \ell^2(\mathbb{N}) \otimes C^*(\mathcal{R}_g)$. A short computation shows that $D_Z = v^*(1 \otimes D)v$ on the dense submodule $C_c(Z^u(P)) \subseteq L^2(Z^u(P))_{C(V)}$. This is the usual connection construction [31], which implies Lemma 6.18.

Yet another way uses Proposition 6.15 and the unbounded representative of the Cuntz–Pimsner extension in the non-unital case from [5]. The argument in [20, §§2.3 and 2.5.2] easily generalizes to show that D_Z is the operator constructed in [5, Theorem 3.7].

We wish to show that $(L^2(Z^u(P))_{C(V)}, D_Z)$ is a $KK_1^{\mathbb{T}}$ -cycle for $(C^*(G^s(P)) \rtimes \mathbb{Z}, C(V))$ and that it represents the Kasparov product of the Morita equivalence $L^2(Z^u(P))_{C^*(\mathcal{R}_g)}$ with the cycle $(L^2(\mathcal{R}_g)_{C(V)}, D)$ constructed in Theorem 5.8. We begin with a useful observation concerning the unbounded Kasparov product with a Morita equivalence bimodule.

PROPOSITION 6.20. *Let E be a \mathbb{T} -equivariant (A, B) -Morita equivalence bimodule and (F, D) an odd \mathbb{T} -equivariant unbounded Kasparov module for (B, C) . Suppose that \tilde{D} is a \mathbb{T} -equivariant self-adjoint regular operator on $E \otimes_B F$ and that $X \subset E$ is a set that generates E as a B -module, such that for all $x \in X$ the operators $T_x : f \rightarrow x \otimes f$ satisfying*

$$T_x : \text{Dom } D \rightarrow \text{Dom } \tilde{D} \quad \text{and} \quad \tilde{D}T_x - T_x D : \text{Dom } D \rightarrow E \otimes_B F \quad (6.9)$$

extend to adjointable operators $F \rightarrow E \otimes_B F$. Then $(E \otimes_B F, \tilde{D})$ is a \mathbb{T} -equivariant (A, B) -unbounded Kasparov module that represents the Kasparov product $[(E, 0)] \otimes_B [(F, D)]$ in $KK_1^{\mathbb{T}}(A, C)$.

Proof. Since E is a Morita equivalence, $A \cong \mathbb{K}(E)$ and $(E, 0)$ is an unbounded (A, B) -Kasparov module. The argument in [31, Lemma 4.3] and (6.9) imply that $(a \otimes 1)(\tilde{D} \pm i)^{-1}$ is compact in $E \otimes_B F$ for all $a \in A$. The operators $T_x T_y^*$, with $x, y \in X$, generate a dense subalgebra of $\mathbb{K}(E) \otimes 1 \cong A \otimes 1$. Since

$$[\tilde{D}, T_x T_y^*] = (\tilde{D}T_x - T_x D)T_y^* + T_x(DT_y^* - T_y^* \tilde{D})$$

is a bounded operator, $(E \otimes_B F, \tilde{D})$ is an (A, C) unbounded Kasparov module. To prove that this cycle represents the Kasparov product, we need to verify conditions (1)–(3) as given in [25, Theorem 13]. Condition (1) is the statement of equation (6.9). Conditions (2) and (3) are trivially satisfied for the product with the unbounded Kasparov module $(E, 0)$ from the left. \square

The following result is an immediate consequence of Lemma 6.18 and Proposition 6.20.

THEOREM 6.21. *Assume that (X, φ) is an irreducible Wiener solenoid defined from an open surjection $g : V \rightarrow V$. The pair $(L^2(Z^u(P))_{C(V)}, D_Z)$ is a \mathbb{T} -equivariant unbounded Kasparov module for the pair $(C^*(G^s(P)) \rtimes \mathbb{Z}, C(V))$, which represents the Kasparov product*

$$[(L^2(Z^u(P))_{C^*(\mathcal{R}_g)}, 0)] \otimes_{C^*(\mathcal{R}_g)} [(L^2(\mathcal{R}_g)_{C(V)}, D)] \in KK_1^{\mathbb{T}}(C^*(G^s(P)) \rtimes \mathbb{Z}, C(V)).$$

In particular, there is an exact triangle in the triangulated category $KK^{\mathbb{T}}$ of the form

$$\begin{array}{ccc} C(V) & \xrightarrow{1-[E_g]} & C(V) \\ & \swarrow \circlearrowleft & \searrow \wr_{\mathcal{R}} \\ & C^*(G^s(P)) \rtimes \mathbb{Z} & \end{array}$$

$[L^2(Z^u(P))_{C(V)}, D_Z]$

where $\wr_{\mathcal{R}} \in KK_0^{\mathbb{T}}(C(V), C^(G^s(P)) \rtimes \mathbb{Z})$ is defined from the inclusion $C(V) \hookrightarrow C^*(\mathcal{R}_g)$ and Corollary 6.13.*

Remark 6.22. From the computations in Example 5.14, using Remark 5.13, we can compute the K -theory group $K_*(C^*(G^s(P)))$ for an expanding dilation matrix on $(S^1)^d$ to be the expression of equation (5.6) (see p. 23).

7. KMS weights on the stable Ruelle algebra

Cuntz–Pimsner algebras come equipped with a natural gauge action that extends to an action of the real numbers. The analysis of equilibrium states (or KMS states) is then of particular interest for these algebras. Such equilibrium states were first studied by Kubo, Martin and Schwinger and a comprehensive study of KMS states is provided by Bratteli and Robinson in [8].

Suppose that B is a C^* -algebra and that $\sigma : \mathbb{R} \rightarrow \text{Aut } B$ is a strongly continuous action. An element $a \in B$ is said to be σ -analytic if the function $t \mapsto \sigma_t(a)$ extends to an entire function on \mathbb{C} . For $\beta \in (0, \infty]$, a state ϕ on B is called a KMS_β state if it satisfies

$$\phi(ab) = \phi(b\sigma_{i\beta}(a)) \quad (7.1)$$

for all analytic elements $a, b \in B$. For $\beta = 0$, the KMS_β states are the σ -invariant traces on B . We often call (7.1) the KMS_β condition.

Olesen and Pedersen [35] first studied KMS states for the periodic gauge action on the Cuntz algebras O_N , where they discovered that there is a unique KMS state at inverse temperature $\beta = \log N$. Enomoto *et al* [17] generalized this result to the Cuntz–Krieger algebras $O_{\mathbf{A}}$ of an irreducible $N \times N$ matrix \mathbf{A} . In this case, the unique KMS state occurred at $\beta = \log \rho(\mathbf{A})$, where $\rho(\mathbf{A})$ is the spectral radius of the matrix \mathbf{A} , i.e. its Perron–Frobenius eigenvalue. More recently, Laca and Neshveyev [27] studied KMS states of Cuntz–Pimsner algebras and proved that KMS states arise as traces on the coefficient algebra of the underlying Hilbert module. In so doing, [27] initialized a programme that is directly related to our work in several situations, most notably for local homeomorphisms in [1] and for self-similar groups in [28]. Similar results for expansive maps are also found in Thomsen’s work [50] and in Kumjian and Renault’s work [26]. Note that a locally expanding local homeomorphism is automatically expansive; compare Definition 3.1 with the definition of expansive on [26, p. 2069].

In this section, we first consider the Cuntz–Pimsner algebra O_E associated with a local homeomorphism $g : V \rightarrow V$ satisfying Wiener’s axioms making the associated Wiener solenoid irreducible, as described in §4. Recall that the Toeplitz algebra \mathcal{T}_E is generated by the Toeplitz operators $\{\tilde{T}_\xi : \xi \in E\}$. Let S_ξ be the image of \tilde{T}_ξ in the Cuntz–Pimsner algebra O_E , which is then generated by $\{S_\xi : \xi \in E\}$. We show that every KMS state for the natural gauge action on O_E gives rise to a KMS weight on the stable Ruelle algebra $C^*(G^s(P)) \rtimes \mathbb{Z}$ through the isomorphism described in Proposition 6.15.

We let $\gamma : \mathbb{T} \rightarrow \text{Aut } O_E$ denote the strongly continuous gauge action defined in §4.1. The gauge action extends to a periodic action of the real line through the equation $\sigma_t = \gamma_{e^{it}}$. Thus, there is an action $\sigma : \mathbb{R} \rightarrow \text{Aut } O_E$ defined on the generating set by $\sigma_t(S_\xi) = e^{it} S_\xi$ for $\xi \in E$.

THEOREM 7.1. *Suppose that $g : V \rightarrow V$ is an open surjection satisfying Wiener’s axioms and O_E is the associated Cuntz–Pimsner algebra generated by $\{S_\xi : \xi \in E\}$. Let $\sigma : \mathbb{R} \rightarrow \text{Aut } O_E$ denote the strongly continuous action defined by $\sigma_t(S_\xi) = e^{it} S_\xi$ for $\xi \in E$. Then the following hold.*

- (1) *There is a bijective correspondence between tracial states τ on $C(V)$ satisfying*

$$\tau(\mathcal{L}(a)) = e^\beta \tau(a) \quad (7.2)$$

and KMS_β states on (O_E, σ) . Through the \mathbb{T} -equivariant isomorphism $O_E \cong C^*(\mathcal{R}_g)$, the bijection is given by $\tau \rightarrow \omega_\tau$, where ω_τ is defined on $C_c(\mathcal{R}_g)$ by

$$\omega_\tau(f) := \int_V f(v, 0, v) d\mu, \quad (7.3)$$

such that μ is the probability measure that τ defines on V . There is always at least one $\beta > 0$ and one tracial state τ such that (7.2) holds.

- (2) If (V, g) is mixing (Definition 2.2(3)), then there is a unique pair (τ, β) satisfying (7.2). That is, there is a unique β for which there exists a KMS_β state of (O_E, σ) and the KMS_β state is unique. Moreover, for $v \in V$,

$$\beta = h(g) = \lim_{n \rightarrow \infty} \log \left(\frac{\mathfrak{L}^{n+1} 1}{\mathfrak{L}^n 1}(v) \right), \quad (7.4)$$

where $h(g)$ is the topological entropy of (V, g) .

Remark 7.2. Part (2) of Theorem 7.1 contains the statement that

$$v \mapsto \lim_{n \rightarrow \infty} \log \left(\frac{\mathfrak{L}^{n+1} 1}{\mathfrak{L}^n 1}(v) \right)$$

is a constant function on V that equals β .

Remark 7.3. In part (1) of Theorem 7.1, we are only using that g is a local homeomorphism. In part (2), we are only using that g is a mixing and locally expanding local homeomorphism. We assume Wiener's axioms at this point, since the applications we have in mind are to Smale spaces.

Remark 7.4. The proof of [20, Lemma 1.9] extends to local homeomorphisms. This fact shows that the conditional expectation $\Phi_\infty : O_{E_g} \rightarrow C(V)$, constructed for a large class of Cuntz–Pimsner algebras in [46], satisfies $\Phi_\infty(f)(x) := f(x, 0, x)$ for $f \in C_c(\mathcal{R}_g)$. The correspondence in Theorem 7.1 part (1) between tracial states τ satisfying $\mathfrak{L}^* \tau = e^\beta \tau$ and KMS_β states on O_{E_g} is then implemented by $\tau \mapsto \tau \circ \Phi_\infty$. In [46, §4], a related correspondence was considered for a larger class of modules using an \mathbb{R} -action defined from the Jones–Watatani index. In the case under consideration in this paper, the Jones–Watatani indices are 1 (cf. [20, Example 1.7]) and the \mathbb{R} -action considered in [46, §4] is trivial.

Proof of Theorem 7.1. We will apply [27, Theorems 2.1 and 2.5] and start by verifying the hypothesis of said theorems. Since the gauge dynamics on E corresponds to the action of scalars on $C(V)$, the ‘positive-energy’ hypothesis of [27, Theorem 2.1] holds; the Arveson spectrum $\text{Sp}_V(a)$ is equal to 1 for all $a \in E$. Thus, in our situation, combining [27, Theorems 2.1 and 2.5] implies that ψ is a KMS_β state on O_E if and only if there is a tracial state τ on $C(V)$ such that $\tau(\mathfrak{L}(a)) = e^\beta \tau(a)$ and, for $\xi \in E^{\otimes m}$ and $\eta \in E^{\otimes n}$,

$$\psi(S_\xi S_\eta^*) = \begin{cases} e^{-\beta m} \tau(\langle \eta, \xi \rangle_{E^{\otimes m}}) & \text{if } n = m, \\ 0 & \text{if } n \neq m. \end{cases}$$

From this identity and the fact that $\tau(\mathfrak{L}(a)) = e^\beta \tau(a)$, we deduce that

$$\psi(S_\xi S_\eta^*) = \begin{cases} e^{-\beta m} \tau(\mathfrak{L}^m(\overline{\eta\xi})) & \text{if } n = m \\ 0 & \text{if } n \neq m \end{cases} = \begin{cases} \tau(\overline{\eta\xi}) & \text{if } n = m, \\ 0 & \text{if } n \neq m, \end{cases} \quad (7.5)$$

where we use the identification $E^{\otimes m} \cong C(V)$ as linear spaces. Since V is a compact Hausdorff space, every tracial state τ on $C(V)$ is given by integrating against a probability measure. From equation (7.5), we deduce that ψ is a KMS_β state on O_E if and only if there is a probability measure μ on V such that

$$\mathfrak{L}_* \mu = e^\beta \mu \quad (7.6)$$

and $\psi = \omega_\tau$, where ω_τ is defined in equation (7.3).

The existence of probability measures μ on V satisfying (7.6) was taken up by Walters in [51]. If $g : V \rightarrow V$ is expansive (locally expanding), [51, Corollary 2.3] implies that there is at least one pair (μ, β) satisfying (7.6). Since g is assumed to be a local homeomorphism, it follows that (V, g) is locally expanding (see Remark 3.13) and therefore (1) holds.

In the case that $g : V \rightarrow V$ is a locally expanding local homeomorphism satisfying the weak specification condition, [51, Theorem 2.16(i)] implies that there is a unique pair (μ, β) on (V, g) such that (7.6) holds. In [26, Proposition 2.1], Kumjian and Renault showed that the weak specification property is equivalent to the condition that (V, g) is mixing. Moreover, [51, Theorem 2.16(iv)] shows that the unique value β satisfies (7.4). Therefore, (2) holds as well. \square

Before turning to the C^* -algebra $C^*(G^s(P)) \rtimes \mathbb{Z}$, we note the following result, which follows from a short algebraic manipulation with matrix units.

PROPOSITION 7.5. *Let \mathbb{K} denote the C^* -algebra of compact operators on a separable Hilbert space with trivial \mathbb{R} -action and tr the operator trace on \mathbb{K} . If B is a unital $\mathbb{R} - C^*$ -algebra, then the mapping $\omega \mapsto \omega \otimes \text{tr}$ defines a bijection between the KMS_β states on B and the KMS_β weights on $B \otimes \mathbb{K}$.*

COROLLARY 7.6. *Let (X, φ) be an irreducible Wiener solenoid arising from an open surjection $g : V \rightarrow V$ and suppose that $P \subseteq X$ is a finite φ -invariant set of periodic points. There is a bijective correspondence between measures μ on V satisfying (7.6) and KMS_β weights on $C^*(G^s(P)) \rtimes \mathbb{Z}$ via $\mu \mapsto \phi_\mu$, where the KMS_β weight ϕ_μ is defined on $C_c(\mathcal{G}^s(P) \rtimes \mathbb{Z})$ via*

$$\phi_\mu(f) := \int_{X^u(P)} f(x, 0, x) d(\pi_0^* \mu).$$

In particular, $C^(G^s(P)) \rtimes \mathbb{Z}$ always admits a KMS_β weight and, if (X, φ) is mixing, then there is a unique $\beta > 0$ for which there exists a KMS_β weight and that KMS_β weight is unique.*

Proof. Since $\pi_0 : X^u(P) \rightarrow V$ is a covering (see Theorem 3.12), the measure $\pi_0^* \mu$ is well defined on $X^u(P)$. We choose a \mathbb{T} -equivariant $*$ -isomorphism

$$\rho : C^*(G^s(P)) \rtimes \mathbb{Z} \xrightarrow{\cong} O_E \otimes \mathbb{K}$$

as in Corollary 6.13. By Proposition 7.5, there is a bijective correspondence between KMS_β states ω on O_E and KMS_β weights on $C^*(G^s(P)) \rtimes \mathbb{Z}$ given by $\omega \mapsto (\omega \otimes \text{tr}) \circ \rho$. Theorem 7.1 implies that any KMS_β state on O_E takes the form ω_τ , where $\tau \in C(V)^*$ is defined from a probability measure μ on V satisfying equation (7.6). Since tr is invariant under unitary transformations and ρ is $C(V)$ -bilinear, it follows from an argument in local trivializations of $\pi_0 : X^u(P) \rightarrow V$ that for $f \in C_c(G^s(P) \rtimes \mathbb{Z})$ we have

$$(\omega_\tau \otimes \text{tr}) \circ \rho(f) = \int_V \left[\sum_{x \in \pi_0^{-1}(v)} f(x, 0, x) \right] d\mu(v) = \int_{X^u(P)} f(x, 0, x) d(\pi_0^* \mu)(x).$$

From Theorem 7.1, we deduce that $C^*(G^s(P)) \rtimes \mathbb{Z}$ always admits a KMS_β weight and, if (V, g) is mixing, the KMS_β weight is unique. The corollary now follows from [4, Theorem 3.5.3], which says that if $g : V \rightarrow V$ is a continuous surjection, then $g : V \rightarrow V$ is mixing if and only if $\varphi : X \rightarrow X$ is mixing. \square

Remark 7.7. If V is an oriented manifold and $\tau(a) = \int_V a \wedge \omega$ for a differential form ω , the condition $\mathfrak{L}^* \tau = e^\beta \tau$ is equivalent to $g^* \omega = e^\beta \omega$.

8. Examples

In this section, we will consider examples of irreducible Wiener solenoids arising from an open surjection $g : V \rightarrow V$. In these examples, Theorem 6.21 enables computations of explicit K -theoretic invariants and construction of concrete spectral triples.

8.1. *Subshifts of finite type.* The Smale spaces where both the stable and unstable sets are totally disconnected are the subshifts of finite type. They were discussed in Example 4.1. In this case, the stable and unstable Ruelle algebras can be treated on an equal footing. Consider an $N \times N$ matrix \mathbf{A} consisting of zeros and ones, and assume that \mathbf{A} is irreducible. The compact space $\Omega_{\mathbf{A}}$ is defined in Example 4.1 and the associated Wiener solenoid $(X_{\Omega_{\mathbf{A}}}, \varphi_{\mathbf{A}})$ is conjugate to the two-sided shift

$$\Sigma_{\mathbf{A}} := \{(x_j)_{j \in \mathbb{Z}} \in \{1, \dots, N\}^{\mathbb{Z}} : \mathbf{A}_{x_j, x_{j+1}} = 1\}, \quad \varphi_{\mathbf{A}}(x_j)_{j \in \mathbb{Z}} := (x_{j+1})_{j \in \mathbb{Z}}.$$

As above, we chose a finite $\varphi_{\mathbf{A}}$ -invariant set $P \subseteq \Sigma_{\mathbf{A}}$ of periodic points. We write

$$Z_{\mathbf{A}}^u(P) := \{(x, j, v) \in \Sigma_{\mathbf{A}}^u(P) \times \mathbb{Z} \times \Omega_{\mathbf{A}} : \exists k, x_{l+j} = v_l \forall l \geq k\}.$$

It follows from Theorem 6.6 that $C^*(G_{\mathbf{A}}^s(P)) \rtimes \mathbb{Z} \sim_M O_{\mathbf{A}}$ via the \mathbb{T} -equivariant Morita equivalence $Z_{\mathbf{A}}^u(P)$. The K -theory and K -homology groups of the Cuntz–Krieger algebra $O_{\mathbf{A}}$ are well known, and given by

$$K^*(O_{\mathbf{A}}) \cong K_{*+1}(O_{\mathbf{A}^T}) \cong \begin{cases} \ker(1 - \mathbf{A}), & * = 0, \\ \text{coker}(1 - \mathbf{A}), & * = 1. \end{cases}$$

Since $\Omega_{\mathbf{A}}$ is totally disconnected, $K^1(C(\Omega_{\mathbf{A}})) = 0$ and the exact K -homology sequence in Corollary 5.11 reduces to

$$\begin{aligned} 0 \rightarrow K^0(C^*(G^s(P) \rtimes \mathbb{Z})) \xrightarrow{\iota^*} K^0(C(\Omega_{\mathbf{A}})) \\ \xrightarrow{1 - [E_g]} K^0(C(\Omega_{\mathbf{A}})) \xrightarrow{\partial} K^1(C^*(G^s(P) \rtimes \mathbb{Z})) \rightarrow 0. \end{aligned}$$

In particular, the boundary map ∂ is surjective, and the description of explicit representatives of $K^1(O_{\mathbf{A}}) \cong \mathbb{Z}^N / (1 - \mathbf{A})\mathbb{Z}^N$ in [19] shows that ∂ is surjective already when restricted to classes in $K^0(C(\Omega_{\mathbf{A}}))$ given by point evaluations of $C(\Omega_{\mathbf{A}})$: each class in $K^1(O_{\mathbf{A}})$ is given by a spectral triple obtained by localizing the unbounded Kasparov module from Theorem 5.8 in a character of $C(\Omega_{\mathbf{A}})$. We see that the same statement is true for the stable Ruelle algebra of the two-sided shift. Let $(e_i)_{i=1}^N$ denote the standard basis of \mathbb{Z}^N . We deduce the following result from [19, Theorem 5.2.3].

THEOREM 8.1. *Let $v \in \Omega_{\mathbf{A}}$ be a one-sided sequence starting in the letter $i \in \{1, \dots, N\}$. Define the Hilbert space*

$$\mathcal{H}_v := \ell^2(\rho_R^{-1}(v)) \quad \text{where } \rho_R^{-1}(v) = \{(x, n) \in \Sigma_{\mathbf{A}}^u(P) \times \mathbb{Z} : (x, n, v) \in \mathbb{Z}_{\mathbf{A}}^u(P)\}.$$

We let $C^*(G^s(P)) \rtimes \mathbb{Z}$ act on \mathcal{H}_v via identifying \mathcal{H}_v with the localization of $L^2(\mathbb{Z}^u(P))_{C(v)}$ in $v \in \Omega_{\mathbf{A}}$. Consider the self-adjoint operator D_v defined by

$$D_v f(x, n) := \psi(n, \kappa_Z(x, n, v)) f(x, n).$$

Then $(C_c(G^s(P)) \rtimes_{\text{alg}} \mathbb{Z}, \mathcal{H}_v, D_v)$ is a θ -summable spectral triple representing the equivalence class $e_i \bmod (1 - \mathbf{A})\mathbb{Z}^N$ under the isomorphism $K^1(O_{\mathbf{A}}) \cong \mathbb{Z}^N / (1 - \mathbf{A})\mathbb{Z}^N$. The bounded Fredholm module $(C_c(G^s(P)) \rtimes_{\text{alg}} \mathbb{Z}, \mathcal{H}_v, D_v |D_v|^{-1})$ is finitely summable.

This provides an exhaustive explicit description of the odd K -homology of the stable Ruelle algebra of a subshift of finite type. The group $K^0(C^*(G^s(P) \rtimes \mathbb{Z}))$ stands in stark contrast; a description of even spectral triples on the stable Ruelle algebra is at this point in time still elusive.

8.2. Self-similar groups. Self-similar groups are a relatively new area of group theory. They were discovered by Grigorchuk through his construction of a group with intermediate growth that is amenable but not elementary amenable. Since then much of the abstract theory has been developed by Nekrashevych [33].

In [34], Nekrashevych constructed a Smale space from a contracting and regular self-similar group. In this section, we recast Nekrashevych’s result by showing that the limit space of a contracting, regular self-similar group satisfies Wieler’s axioms and the shift map is a local homeomorphism. The shift on the limit space of a self-similar group gives rise to another Cuntz–Pimsner model for self-similar groups that generalizes the Cuntz–Pimsner algebra O_f constructed by Nekrashevych in [34, §6.3]. We note that the results of §§5, 6 and 7 apply to this example. We obtain a plethora of Kasparov cycles, KMS states on the Cuntz–Pimsner algebra O_E and KMS weights on the stable Ruelle algebra. We also remark that all of these results generalize to Exel and Pardo’s self-similar groups on graphs through the forthcoming paper [9].

A self-similar group (G, X) consists of a group G , a finite set X and a faithful action of G on the set X^* of finite words in X such that, for each $g \in G$ and $x \in X$, there exist $y \in X$ and $h \in G$ satisfying $g \cdot xw = y(h \cdot w)$ for all $w \in X^*$. Faithfulness of the action implies that the group element h is unique, so there is a map $(g, x) \mapsto h$ and we call h

the *restriction* of g to x and denote it by $g|_x$. Thus, the defining relation for a self-similar group can be written

$$g \cdot xv = (g \cdot x)(g|_x \cdot w) \quad \text{for all } w \in X^*. \quad (8.1)$$

A self-similar group is said to be *contracting* if there is a finite subset $S \subset G$ such that for every $g \in G$, there exists $n \in \mathbb{N}$ such that $\{g|_v : |v| \geq n\} \subset S$. The (unique) smallest such set is called the *nucleus* and is denoted by \mathcal{N} .

We now briefly construct the limit space \mathcal{J}_G in the case that (G, X) is contracting; for further details, see [33, §3.6]. The space of left infinite words with letters in X is denoted $X^{-\infty}$. Two sequences $\dots x_3x_2x_1$ and $\dots y_3y_2y_1$ are said to be *asymptotically equivalent* if there exists a sequence $\{g_n\}_{n=1}^{\infty}$ of nucleus elements such that $g_n \cdot x_n \dots x_1 = y_n \dots x_1$. The *limit space* \mathcal{J}_G is the quotient of $X^{-\infty}$ by the asymptotic equivalence relation, and we let $q : X^{-\infty} \rightarrow \mathcal{J}_G$ denote the quotient map. The asymptotic equivalence relation is invariant under the shift map $\sigma : X^{-\infty} \rightarrow X^{-\infty}$ given by $\sigma(\dots x_3x_2x_1) = \dots x_3x_2$, so $\sigma : \mathcal{J}_G \rightarrow \mathcal{J}_G$ is a continuous surjection. That \mathcal{J}_G is a compact metric space is proved in [33, Theorem 3.6.3] and in a more general framework in [9].

In [9], it is proved that (\mathcal{J}_G, σ) satisfies Wieler's axioms in the generality of Exel and Pardo's self-similar graphs. The proofs require an additional assumption: a self-similar group is said to be *regular* if for every $g \in G$ and $x \in X^{\infty}$, either $g \cdot x \neq x$ or g fixes x as well as all points in a clopen neighbourhood of x . In [34, Proposition 6.1], Nekrashevych showed that (G, X) is regular if and only if $\sigma : \mathcal{J}_G \rightarrow \mathcal{J}_G$ is a covering. We now summarize the results of [9].

PROPOSITION 8.2. [9] *Suppose that (G, X) is a contracting and regular self-similar group with limit space \mathcal{J}_G . Then $\sigma : \mathcal{J}_G \rightarrow \mathcal{J}_G$ is a local homeomorphism that satisfies Wieler's axioms and the dynamical system (\mathcal{J}_G, σ) is mixing.*

Let (X, φ) be the mixing Wieler solenoid associated with (\mathcal{J}_G, σ) . Since $\sigma : \mathcal{J}_G \rightarrow \mathcal{J}_G$ is a continuous open surjection satisfying Wieler's axioms, there is a Cuntz–Pimsner model for the stable Ruelle algebra of (X, φ) by Proposition 6.15. Moreover, Corollary 7.6 implies that there is a unique KMS weight on the stable Ruelle algebra of a contracting and regular self-similar group.

9. Solenoids constructed from covering maps on manifolds

In this section, we will consider the solenoid construction on a smooth closed manifold M . The outcome will be a procedure to lift certain K -homology classes on M to the Cuntz–Pimsner algebra constructed from M . The results are complementary to the constructions in §§5 and 6 as they produce K -homology classes that are not factorizable over the Cuntz–Pimsner extension in the sense of Corollary 5.15. In certain special cases, the Hilbert space appearing in the spectral triple is the GNS space of the KMS weight. To elucidate our results, we will run the details of $M = S^1$ in Example 3.14 in parallel to the general construction. We show that for $M = S^1$, the K -homology classes we construct along with the products with the Cuntz–Pimsner extension exhaust the K -homology of the stable Ruelle algebra $C^*(G^s(P)) \rtimes \mathbb{Z}$.

We consider a closed manifold M and an n -fold smooth covering map $g : M \rightarrow M$ acting conformally on a Riemannian metric h_M on M . That is, $g^*h_M = Nh_M$ for $N \in C^\infty(M, \mathbb{R}_{>0})$. The function g satisfies Wiener's axioms if $N > 1$ on M . We follow the notation from the previous sections and write $\mathfrak{L}(\xi)(x) := \sum_{g(y)=x} \xi(y)$ for $\xi \in C(M)$.

Remark 9.1. It follows from Remark 7.7 that the volume measure dV_h satisfies equation (7.6) with $\beta = (d/2) \log(N)$ if N is constant. Specifically, if N is constant, then the volume measure defines a KMS_β state on O_{E_g} (as in Theorem 7.1) and a KMS_β weight on $C^*(G^s(P)) \rtimes \mathbb{Z}$ (as in Corollary 7.6).

Definition 9.2. Let $S \rightarrow M$ denote a hermitian vector bundle with hermitian metric h_S such that g lifts to S (in the sense that there is a unitary vector bundle isomorphism $g_S : g^*S \rightarrow S$ giving rise to a unitary isomorphism $g_{S,y} : S_{g(y)} \rightarrow S_y$ for any $y \in M$). We define the transfer operator

$$\mathfrak{L}_S : C(M, S) \rightarrow C(M, S), \quad \mathfrak{L}_S(\xi)_x := \sum_{g(y)=x} g_{S,y}^{-1} \xi_y.$$

The operator \mathfrak{L}_S is $C(M)$ -linear in the sense that $\mathfrak{L}_S(\xi \cdot g^*a) = \mathfrak{L}_S(\xi)a$ for any $a \in C(M)$ and $\xi \in C(M, S)$. Define the mapping $V_0 : L^2(M, S) \rightarrow L^2(M, S)$ as the composition of the pullback $g^* : L^2(M, S) \rightarrow L^2(M, g^*S)$ and the fibrewise action of g_S .

PROPOSITION 9.3. *Assume that M is a closed d -dimensional manifold with the data specified above. The operator $V := N^{d/4}n^{-1/2}V_0$ is an isometry on $L^2(M, S)$ such that*

$$V^* = \mathfrak{L}_S N^{-d/4} n^{-1/2}, \quad VaV^* = g^*aVV^* \quad \text{and} \quad V^*aV = \frac{1}{n}\mathfrak{L}(a).$$

Proof. We first prove that V is an isometry. Let dV_h denote the volume density constructed from h_M . Note that $g^*dV_h = N^{d/2}dV_h$. We have for $f_1, f_2 \in C(M, S)$ that

$$\begin{aligned} \langle Vf_1, Vf_2 \rangle_{L^2(M,S)} &= \frac{1}{n} \int_M \langle g^*f_1, g^*f_2 \rangle_S N^{d/2} dV_h \\ &= \frac{1}{n} \int_M g^*(\langle f_1, f_2 \rangle_S) dV_h \\ &= \langle f_1, f_2 \rangle_{L^2(M,S)}. \end{aligned}$$

Next, we prove the identity $V^*aV = (1/n)\mathfrak{L}(a)$. For $f_1, f_2 \in C(M, S)$, a similar computation as above gives

$$\begin{aligned} \langle V^*aVf_1, f_2 \rangle_{L^2(M,S)} &= \langle aVf_1, Vf_2 \rangle_{L^2(M,S)} = \frac{1}{n} \int_M ag^*(\langle f_1, f_2 \rangle_S) dV_h \\ &= \frac{1}{n} \int_M \mathfrak{L}(a)\langle f_1, f_2 \rangle_S dV_h = \left\langle \frac{1}{n}\mathfrak{L}(a)f_1, f_2 \right\rangle_{L^2(M,S)}. \end{aligned}$$

The identity $VaV^* = g^*aVV^*$ follows from the simple computation $V(af) = g^*a \cdot Vf$, which holds for $a \in C(M)$, $f \in C(M, S)$.

Finally, we compute V^* . For $f_1, f_2 \in C(M, S)$,

$$\begin{aligned} \langle Vf_1, f_2 \rangle_{L^2(M,S)} &= \int_M \langle g^*f_1, N^{-d/4}n^{-1/2}f_2 \rangle_S N^{d/2} dV_h \\ &= \int_M \langle f_1, \mathfrak{L}_S(N^{-d/4}n^{-1/2}f_2) \rangle_S dV_h. \end{aligned} \quad \square$$

Example 9.4. The prototypical example is the n -solenoid on $M = S^1$ from Example 3.14. We return to this example throughout the section. In this example, $M = S^1 = \mathbb{R}/\mathbb{Z}$ and $g(x) := nx \pmod{1}$. We can take S to be the trivial line bundle on S^1 and h_{S^1} to be the flat metric on S^1 , so $N = n^2$ and $Vf(x) = f(nx)$. An orthonormal basis for $L^2(S^1)$ is given by $e_k(x) := \exp(2\pi i k x)$ for $k \in \mathbb{Z}$. In this case, the identity $Ve_k = e_{kn}$ shows straight away that V is an isometry. In this case, the transfer operator \mathfrak{L} takes the form

$$\mathfrak{L}(a)(x) = \sum_{j=0}^{n-1} a\left(\frac{x+j}{n}\right).$$

Here we identify functions on S^1 with periodic functions on \mathbb{R} . On $L^2(S^1)$, we have $V^* = n^{-1}\mathfrak{L}$.

Example 9.5. We consider a higher dimensional example mentioned towards the end of Example 3.14. Consider a matrix $A \in M_d(\mathbb{Z})$ with non-zero determinant and let $g_A : (S^1)^d \rightarrow (S^1)^d$ denote the associated smooth local homeomorphism. If $A^T A = N$ for some $N > 0$, then g_A acts conformally on the flat metric on $(S^1)^d = \mathbb{R}^d/\mathbb{Z}^d$. Let us give some non-trivial examples of such matrices. For $d = 2$, such a matrix takes the general form

$$\begin{pmatrix} x \pm y \\ y \mp x \end{pmatrix}, \quad x^2 + y^2 = N.$$

Asymptotically, the number of local homeomorphisms acting conformally on $(S^1)^2$ with $N \leq r^2$ is determined by Gauss' circle problem and behaves like $2\pi r^2$ as $r \rightarrow \infty$. The equation $x^2 + y^2 = N$ admits solutions if and only if the prime factors $p|N$ with $p \equiv 3 \pmod{4}$ occur with even multiplicity in N . In dimension $d = 3$, the general form is

$$\begin{pmatrix} x_1 & y_1 & z_1 \\ x_2 & y_2 & z_2 \\ x_3 & y_3 & z_3 \end{pmatrix} \quad \text{where} \quad \begin{cases} x_j^2 + y_j^2 + z_j^2 = N & \text{for } j = 1, 2, 3, \\ x_j x_k + y_j y_k + z_j z_k = 0 & \text{for } j \neq k. \end{cases}$$

By Legendre's three-square theorem, such a matrix exists only if N is not of the form $4^a(8b+7)$ for natural numbers a, b .

In this case, the Lebesgue measure m on $(S^1)^d$ satisfies $g_A^* m = N^{d/2} m$. Theorem 7.1 shows that the Lebesgue measure induces the unique KMS state on $O_{E_{g_A}}$ and, by Corollary 7.6, the unique KMS weight on $C^*(G^s(P)) \rtimes \mathbb{Z}$, both having inverse temperature $\beta = (d/2) \log(N)$.

PROPOSITION 9.6. *Let M be a d -dimensional manifold, $g : M \rightarrow M$ an n -fold smooth covering map acting conformally on a metric h_M that lifts to the hermitian bundle $S \rightarrow M$ and V the isometry on $L^2(M, S)$ constructed as in Proposition 9.3. Write $E_g := \text{id} C(M)_{g^*}$ as in §4.1. The pointwise action of $C(M)$ on $L^2(M, S)$ and the linear mapping $\iota_V : E_g \rightarrow \mathbb{B}(L^2(M, S))$ given by $a \mapsto \sqrt{n} a V$ define a $*$ -representation $\pi_V : O_{E_g} \rightarrow \mathbb{B}(L^2(M, S))$.*

Proof. It is an immediate consequence of Proposition 9.3 that ι_V defines a Toeplitz representation of E_g ; that is, conditions (1)–(3) of [38, Theorem 3.12] are satisfied. It remains to prove that the Cuntz–Pimsner covariance condition (4) of [38, Theorem 3.12] is satisfied. Thus, we need to show the following: after writing the action of

$a \in C(M)$ on E_g as $a\xi = \sum_{j=1}^m \eta_j \langle \xi_j, \xi \rangle_{C(M)}$, for some $\eta_j, \xi_j \in E_g$, we require that $a = \sum_{j=1}^m \text{t}_V(\eta_j) \text{t}_V(\xi_j)^*$ as operators on $L^2(M, S)$.

As in the discussion preceding (5.5), consider a cover $(U_j)_{j=1}^m \subseteq M$ of balls such that $g|_{U_j}$ is injective. Take a partition of unity $(\chi_j^2)_{j=1}^m$ subordinate to $(U_j)_{j=1}^m$, so that χ_j is a frame for E_g ; that is, for any $\xi \in E_g = C(M)$, we have $\xi = \sum_{j=1}^m \chi_j \langle \chi_j, \xi \rangle_{C(M)}$. So, we can write $a\xi = \sum_{j=1}^m \eta_j \langle \xi_j, \xi \rangle_{C(M)}$, where $\eta_j = a\chi_j$ and $\xi_j = \chi_j$. We fix this decomposition for the remainder of the proof.

We now verify the Cuntz–Pimsner covariance condition. Consider an $f \in C(M, S)$. Using Proposition 9.3, we write

$$\begin{aligned} \left[\sum_{j=1}^m \text{t}_V(\eta_j) \text{t}_V(\xi_j)^* f \right] (x) &= n \left[\sum_{j=1}^m \eta_j V V^* \xi_j f \right] (x) \\ &= \left[\sum_{j=1}^m \eta_j N^{d/4} V_0 \mathfrak{L}_S N^{d/4} \xi_j f \right] (x) \\ &= \sum_{j=1}^m \sum_{g(x)=g(y)} a(x) \chi_j(x) N^{d/4}(x) N^{d/4}(y) \chi_j(y) g_{S,x} g_{S,y}^{-1} f(y) \\ &= \sum_{j=1}^m a(x) \chi_j^2(x) f(x) = a(x) f(x), \end{aligned}$$

where the second last identity used the fact that $g|_{U_j}$ is injective, so that

$$\{y \in \text{supp}(\chi_j) : g(x) = g(y)\} = \{x\}$$

for $x \in \text{supp}(\chi_j)$. □

Definition 9.7. Define the function $\text{signlog} : \mathbb{R} \rightarrow \mathbb{R}$ by

$$\text{signlog}(x) := \begin{cases} 0, & x = 0, \\ \text{sign}(x) \log |x|, & x \neq 0. \end{cases}$$

We set $\mathcal{D}_{\log} := \text{signlog}(\mathcal{D})$. For any $\varepsilon > 0$, there is a function $\mathfrak{l}_\varepsilon \in C^\infty(\mathbb{R})$ such that $\mathfrak{l}_\varepsilon = \text{signlog}$ on $\mathbb{R} \setminus ((-\varepsilon, 0) \cup (0, \varepsilon))$. We remark that for any $\varepsilon > 0$ and $m > 0$, the function \mathfrak{l}_ε is a Hörmander symbol of order m on \mathbb{R} . In fact, for any $k \in \mathbb{N}_{>0}$, there is a $C_k > 0$ (possibly depending on $\varepsilon > 0$) such that $|\partial_x^k \mathfrak{l}_\varepsilon(x)| \leq C_k (1 + |x|)^{-k}$. We note that $\mathcal{D}_{\log} - \mathfrak{l}_\varepsilon(\mathcal{D}) \in \Psi^{-\infty}(M, S)$ for all $\varepsilon > 0$. For ε small enough, $\mathcal{D}_{\log} = \mathfrak{l}_\varepsilon(\mathcal{D})$ if $\mathfrak{l}_\varepsilon(0) = 0$.

PROPOSITION 9.8. *Let M be a closed Riemannian manifold, $S \rightarrow M$ a Clifford bundle and \mathcal{D} a Dirac operator acting on S . Then \mathcal{D}_{\log} is a self-adjoint operator and the inclusion $H^s(M, S) \subseteq \text{Dom}(\mathcal{D}_{\log})$ is compact for any $s > 0$, and $e^{-t\mathcal{D}_{\log}^2}$ is in the operator ideal $\mathcal{L}^{(d/t, \infty)}(L^2(M, S))$ for any $t > 0$.*

Proposition 9.8 follows from functional calculus for self-adjoint operators. To study \mathcal{D}_{\log} further, we will make use of log-polyhomogeneous pseudo-differential operators. Such operators are studied in detail in [29, §3]. In short, the log-polyhomogeneous pseudo-differential operators form a bi-filtered algebra $\Psi^{m,k}(M, S) \subseteq \bigcap_{s>0} \Psi^{m+s}(M, S)$, where

$m \in \mathbb{R}$ and $k \in \mathbb{N}$. A full symbol $\sigma_U^{\text{full}}(A)$ of an operator $A \in \Psi^{m,k}(M, S)$ in a local coordinate chart U admits an asymptotic expansion

$$\sigma_U^{\text{full}}(A)(x, \xi) \sim \sum_{j=0}^k a_j(x, \xi) \log^j |\xi|,$$

where the elements a_j are classical symbols of order m .

PROPOSITION 9.9. *The operator \mathcal{D}_{\log} is a log-polyhomogeneous pseudo-differential operator of order $(0, 1)$ with leading order symbol $c_S(\xi)|\xi|_h^{-1} \log |\xi|_h$, where c_S denotes the Clifford multiplication on S . In particular, for any $s > 0$ and $a \in C^\infty(M)$, the commutator $[\mathcal{D}_{\log}, a]$ is a pseudo-differential operator of order $-1 + s$.*

Proof. By [29, Proposition 3.4(2)], the log-polyhomogeneous pseudo-differential operators form a bi-filtered algebra. Since $\mathcal{D}|\mathcal{D}|^{-1} \in \Psi_{cl}^0(M, S) = \Psi^{0,0}(M, S)$ has principal symbol $c_S(\xi)|\xi|_h^{-1}$, we have that $\mathcal{D}_{\log} \in \Psi^{0,1}(M, S)$ provided $\log |\mathcal{D}| \in \Psi^{0,1}(M, S)$ with leading order symbol $\log |\xi|_h$. It suffices to prove that $\log \Delta \in \Psi^{0,1}(M, S)$ with leading order symbol $\log |\xi|_h^2$ for any Laplacian-type operator Δ on $S \rightarrow M$. This fact follows from [21, equation (6), p. 121].

It follows from the composition formulas for log-polyhomogeneous pseudo-differential operators (see [29, Proposition 3.4.(2)]) that the leading order term in the symbol of $[\mathcal{D}_{\log}, a]$ is the Poisson bracket $\{c_S(\xi)|\xi|_h^{-1} \log |\xi|_h, a\}_{T^*M}$, which is of order $(-1, 1)$. Thus, $[\mathcal{D}_{\log}, a] \in \Psi^{-1,1}(M, S) \subseteq \Psi^{-1+s}(M, S)$ for all $s > 0$. \square

COROLLARY 9.10. *The spectral triple $(C^\infty(M), L^2(M, S), \mathcal{D}_{\log})$ satisfies:*

- (1) *the class $[C^\infty(M), L^2(M, S), \mathcal{D}_{\log}]$ coincides with $[C^\infty(M), L^2(M, S), \mathcal{D}]$ in $K_*(M)$;*
- (2) *$(C^\infty(M), L^2(M, S), \mathcal{D}_{\log})$ is θ -summable;*
- (3) *for $a \in C^\infty(M)$, $[\mathcal{D}_{\log}, a] \in \mathcal{L}^{d+s}(L^2(M, S))$ for any $s > 0$, where $d = \dim(M)$.*

Thus, $[\mathcal{D}_{\log}, a]$ is not only bounded but even Schatten class and in particular it is a compact operator.

Example 9.11. We return to the circle, with an eye towards solenoids (cf. Example 9.4). We let $\mathcal{D} := (1/2\pi i)(d/dx)$ on $L^2(S^1)$ (with periodic boundary conditions). Then $\mathcal{D}e_k = ke_k$, so

$$\mathcal{D}_{\log}e_k = \begin{cases} 0, & k = 0, \\ \text{sign}(k) \log |k|e_k, & k \neq 0. \end{cases}$$

In particular, with $z \in C^\infty(S^1)$ denoting the complex coordinate function $x \mapsto \exp(2\pi i x)$,

$$[\mathcal{D}_{\log}, z]e_k = \begin{cases} \log(2)e_0, & k = -1, \\ \text{sign}(k) \log\left(1 + \frac{2|k|+1}{k^2+1}\right)e_{k+1}, & k \neq -1. \end{cases}$$

Since $\log(1+t) = -\sum_{k=1}^{\infty} (-t)^k/k$ for $|t| < 1$, $[\mathcal{D}_{\log}, z] \in \Psi^{-1}(S^1)$ (see [2]). This gives us a proof of a refinement of the commutator property in Proposition 9.9(3) for S^1 . Any $a \in C^\infty(S^1)$ admits an expansion $a = \sum_{k \in \mathbb{Z}} a_k z^k$ for a rapidly decreasing sequence $(a_k)_{k \in \mathbb{Z}}$,

so $[\mathcal{D}_{\log}, a] \in \Psi^{-1}(S^1)$. We remark that if $\dim(M) > 1$, the property $[\mathcal{D}_{\log}, a] \in \Psi^{-1}(M)$ for all $a \in C^\infty(M)$ fails.

PROPOSITION 9.12. *Let M be a d -dimensional manifold, $g : M \rightarrow M$ an n -fold smooth covering map acting conformally on a metric h_M that lifts to a Clifford bundle $S \rightarrow M$ and V the isometry on $L^2(M, S)$ constructed as in Proposition 9.3. Assume that $g_S : g^*S \rightarrow S$ is Clifford linear. If \mathcal{D} is a Dirac operator on S , the operator $[\mathcal{D}_{\log}, V]$ admits a bounded extension to $L^2(M, S)$.*

Proof. By Proposition 9.9, $[\mathcal{D}_{\log}, V]$ is bounded if and only if $[\mathcal{D}_{\log}, V_0]$ is bounded. It in fact suffices to prove that $\chi[\mathcal{D}_{\log}, V_0]\chi'$ is bounded for any $\chi, \chi' \in C^\infty(M)$ that are supported in balls $U, U' \subseteq M$ and such that $g|_{U'}$ is injective and $g(U') \subseteq U$. Since \mathcal{D}_{\log} is a log-polyhomogeneous pseudo-differential operator by Proposition 9.9, the change of coordinates formula for such (see [29, Proposition 3.5]) implies that $\chi[\mathcal{D}_{\log}, V_0]\chi'$ is a Fourier integral operator with log-polyhomogeneous symbol of order $(0, -1)$. In fact, the leading order term in the symbol of $\chi[\mathcal{D}_{\log}, V_0]\chi'$ is of order $(0, 0)$, since

$$c_S(Dg^t\xi)|Dg^t\xi|_h^{-1} \log |Dg^t\xi|_h - c_S(\xi)|\xi|_h^{-1} \log |\xi|_h = \frac{1}{2}c_S(\xi)|\xi|_h^{-1} \log N.$$

In this identity, we use that $c_S(\xi)|\xi|_h^{-1}$ is invariant under conformal changes of metric and that $|Dg^t\xi|_h = N^{1/2}|\xi|_h$. This computation shows that $\chi[\mathcal{D}_{\log}, V_0]\chi'$ is a Fourier integral operator of order 0 and hence is bounded. \square

Example 9.13. We return to the example of solenoids on S^1 from Examples 9.4 and 9.11. In this case, we have

$$[\mathcal{D}_{\log}, V]e_k = \begin{cases} 0, & k = 0, \\ \text{sign}(k) \log |n|e_{nk}, & k \neq 0. \end{cases}$$

So, $\|[\mathcal{D}_{\log}, V]\|_{\mathbb{B}(L^2(S^1))} = \log |n|$.

THEOREM 9.14. *Let M be a d -dimensional manifold, $g : M \rightarrow M$ an n -fold smooth covering map acting conformally on a metric h_M that lifts to a Clifford bundle $S \rightarrow M$, \mathcal{D} a Dirac operator on S and $\pi_V : O_{E_g} \rightarrow \mathbb{B}(L^2(M, S))$ the representation from Proposition 9.6. Assume that $g_S : g^*S \rightarrow S$ is Clifford linear. We let $\mathcal{A} \subseteq O_{E_g}$ denote the dense pre-image of the $*$ -algebra generated by $C^\infty(M)$ and V under π_V . The data $(\mathcal{A}, L^2(M, S), \mathcal{D}_{\log})$ is a spectral triple for O_{E_g} such that, under the inclusion $\iota : C(M) \rightarrow O_{E_g}$,*

$$\iota^*[\mathcal{A}, L^2(M, S), \mathcal{D}_{\log}] = [C^\infty(M), L^2(M, S), \mathcal{D}] \in K_*(M).$$

The following corollary is immediate from Theorem 9.14 and Corollary 5.15.

COROLLARY 9.15. *The class $[\mathcal{A}, L^2(M, S), \mathcal{D}_{\log}] \in K^*(O_{E_g})$ is in the image of the Cuntz–Pimsner mapping $K^{*+1}(C(M)) \rightarrow K^*(O_{E_g})$ if and only if $[S] = 0$ in the K -theory group of (graded) Clifford bundles.*

Example 9.16. Let us consider the K -homological consequences of the constructions in the solenoid example (see Examples 9.4, 9.11 and 9.13). We assume that $n > 1$ to ensure

that g is oriented and lifts to the spinor bundle. By combining the K -theory computations in [54, Proposition 4.3] with the duality results of Jerry Kaminker, Ian Putnam and the fourth listed author [22] (see [54, p. 293]), one computes that $K^0(O_{E_g}) = \mathbb{Z}$ and $K^1(O_{E_g}) = \mathbb{Z} \oplus \mathbb{Z}/(n-1)\mathbb{Z}$. Another approach relating these K -homology groups with the K -homology of S^1 is to use Corollary 5.11 giving rise to the six-term exact sequences

$$\begin{array}{ccccc} K^0(O_{E_g}) & \xrightarrow{\iota^*} & K^0(C(S^1)) & \xrightarrow{1-[E_g]} & K^0(C(S^1)) \\ [L^2(\mathcal{R}_g)_{C(V), D}] \otimes - \uparrow & & & & \downarrow [L^2(\mathcal{R}_g)_{C(V), D}] \otimes - \\ K^1(C(S^1)) & \xleftarrow{1-[E_g]} & K^1(C(S^1)) & \xleftarrow{\iota^*} & K^1(O_{E_g}) \end{array} \quad (9.1)$$

Some short computations show that the diagrams

$$\begin{array}{ccc} K^0(C(S^1)) & \xrightarrow{1-[E_g]} & K^0(C(S^1)) \\ \cong \downarrow & & \downarrow \cong \\ \mathbb{Z} & \xrightarrow{1-n} & \mathbb{Z} \end{array} \quad \text{and} \quad \begin{array}{ccc} K^1(C(S^1)) & \xrightarrow{1-[E_g]} & K^1(C(S^1)) \\ \cong \downarrow & & \downarrow \cong \\ \mathbb{Z} & \xrightarrow{0} & \mathbb{Z} \end{array}$$

commute. Using these diagrams, the diagram (9.1) collapses to

$$\begin{array}{ccccc} K^0(O_{E_g}) & \xrightarrow{0} & \mathbb{Z} & \xrightarrow{1-n} & \mathbb{Z} \\ [L^2(\mathcal{R}_g)_{C(V), D}] \otimes - \uparrow & & & & \downarrow [L^2(\mathcal{R}_g)_{C(V), D}] \otimes - \\ \mathbb{Z} & \xleftarrow{0} & \mathbb{Z} & \xleftarrow{\iota^*} & K^1(O_{E_g}) \end{array}$$

The generator of the upper right corner is a character $\text{ev}_\theta : C(S^1) \rightarrow \mathbb{C}$ for a $\theta \in \mathbb{R}/\mathbb{Z}$. It follows that $K^1(O_{E_g})$ is generated by any pre-image x of the fundamental class $[S^1] = [L^2(S^1), \not{D}] \in K^1(C(S^1))$ and the product $[L^2(\mathcal{R}_g)_{C(V), D}] \otimes_{C(S^1)} [\text{ev}_\theta]$. Clearly, such an x is of infinite order and $[L^2(\mathcal{R}_g)_{C(V), D}] \otimes_{C(S^1)} [\text{ev}_\theta]$ is of order $n-1$. By Theorem 9.14, x can be taken to be represented by the spectral triple $(\mathcal{A}, L^2(S^1), \not{D}_{\log})$ for O_{E_g} . The $(n-1)$ -torsion class given by the product $[L^2(\mathcal{R}_g)_{C(V), D}] \otimes_{C(S^1)} [\text{ev}_\theta]$ is represented by the spectral triple $(\mathcal{A}, L^2(\mathcal{R}_g) \otimes_{\text{ev}_\theta} \mathbb{C}, D \otimes_{\text{ev}_\theta} 1)$. Note that $L^2(\mathcal{R}_g) \otimes_{\text{ev}_\theta} \mathbb{C} \cong \ell^2(\mathcal{V}_\theta)$, where the discrete set \mathcal{V}_θ is given by

$$\mathcal{V}_\theta = \{(x, j) \in S^1 \times \mathbb{Z} : (x, j, \theta) \in \mathcal{R}_g\} \cong \mathbb{Z} \left[\frac{1}{n} \right] / \mathbb{Z}.$$

For $f \in c_c(\mathcal{V}_\theta)$, the operator $D \otimes_{\text{ev}_\theta} 1$ can be described by

$$(D \otimes_{\text{ev}_\theta} 1)f(x, j) = \psi(n, \kappa(x, j, \theta))f(x, j).$$

It is an interesting problem to compute the product $[L^2(\mathcal{R}_g)_{C(S^1), D}] \otimes_{C(S^1)} [S^1]$ in order to find the generator of $K^0(O_{E_g})$.

We now turn to describing a representative of the Kasparov product of the class in Theorem 9.14 with the Morita equivalence in Theorem 6.6. Our geometric setup presents us with a natural candidate for this product, for which we can check the sufficient conditions in Kucerovsky's theorem [25, Theorem 13].

As above, we define $X := X_M$ and let $P \subseteq X$ be a finite φ -invariant set of periodic points. Since $\pi_0 : X^u(P) \rightarrow M$ is a local homeomorphism, we can equip $X^u(P)$, $G^s(P)$ and $Z^u(P)$ with smooth structures compatible with the groupoid operations. Since g lifts to a unitary action on S , we can integrate the action of the groupoid $G^s(P)$ on $X^u(P)$ to an action of $C^*(G^s(P)) \rtimes \mathbb{Z}$ on $L^2(X^u(P), \pi_0^*(S))$, where $X^u(P)$ is equipped with the measure defined from the metric $\tilde{h}_{X^u(P)} := \pi_0^*h_M$. The isomorphism

$$L^2(X^u(P), \pi_0^*(S)) \cong L^2(Z^u(P))_{C^*(\mathcal{R}_g)} \otimes_{C^*(\mathcal{R}_g)} L^2(M, S)$$

induced from Proposition 6.12 is compatible with the left $C^*(G^s(P)) \rtimes \mathbb{Z}$ -action. We have that $\varphi^*\tilde{h}_{X^u(P)} = \pi_0^*(N)\tilde{h}_{X^u(P)}$. Let $\tilde{\mathcal{D}}$ denote the lift of \mathcal{D} to $X^u(P)$; it is a Dirac operator on $\pi_0^*(S) \rightarrow X^u(P)$. Using the proof of Proposition 9.9 and the fact that $X^u(P)$ is a complete manifold of bounded geometry, we can deduce that $\tilde{\mathcal{D}}_{\log}$ is a self-adjoint log-polyhomogeneous pseudo-differential operator on $X^u(P)$.

PROPOSITION 9.17. *Let M , g , h_M , S and \mathcal{D} be as in Theorem 9.14. Assume that $g : V \rightarrow V$ is an irreducible open surjection satisfying Wiener’s axioms. The data $(C_c^\infty(G^s(P)) \rtimes_{\text{alg}} \mathbb{Z}, L^2(X^u(P), \pi_0^*(S)), \tilde{\mathcal{D}}_{\log})$ is a spectral triple for $C^*(G^s(P)) \rtimes \mathbb{Z}$ representing the product of the class in Theorem 9.14 with the Morita equivalence in Theorem 6.6.*

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